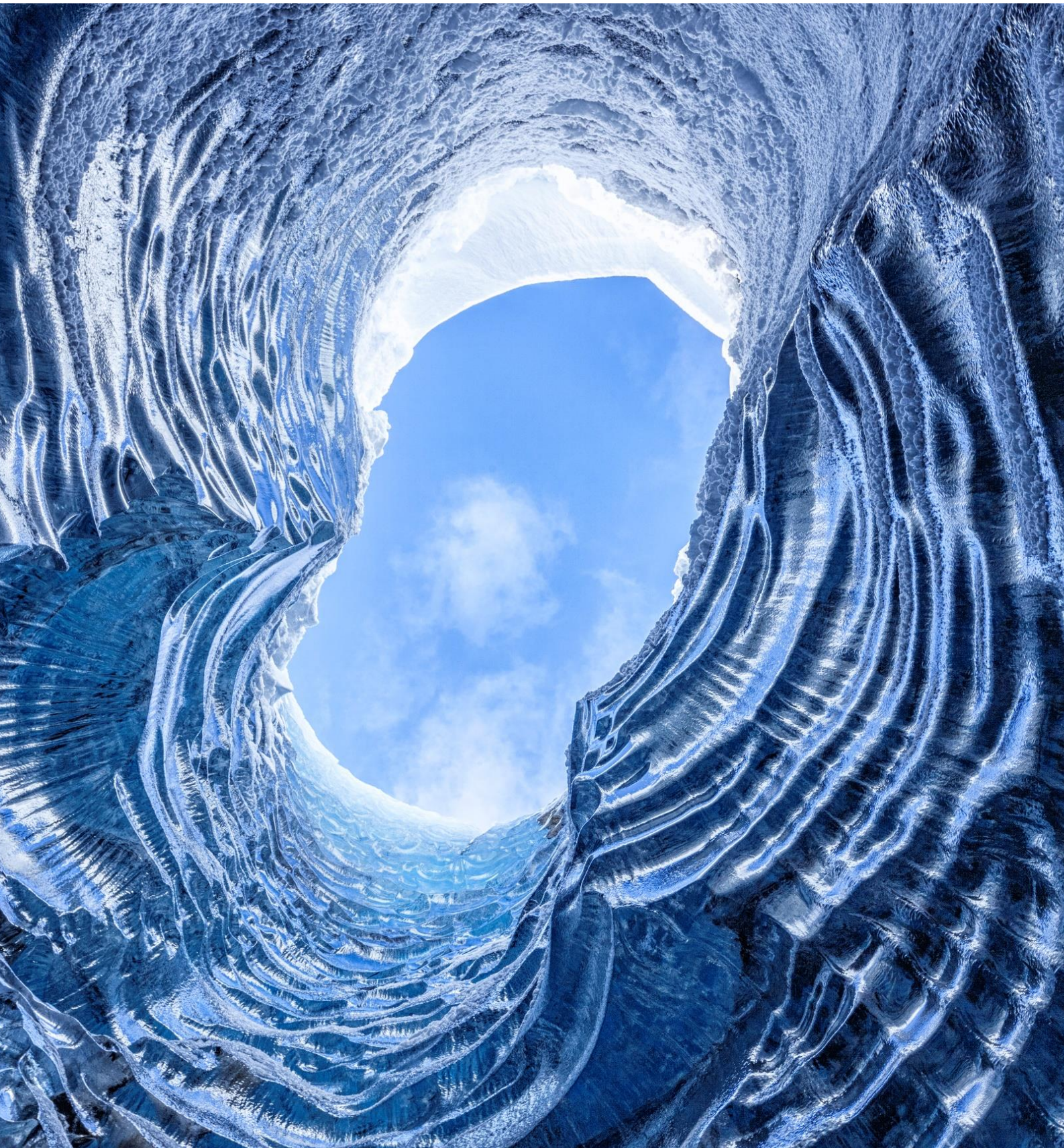


Impax awards - supporting information





At Impax, we are delighted to be recognised by a number of industry publications or groups. This document outlines details of the awards we have won, as well as been highly commended or shortlisted for. Please note, we did not pay a fee to be considered for these awards. These have been awarded in the past and are not indicative of future performance.

### Shortlisted: ESG/SRI Provider of the Year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | This award recognises the leaders in this significant and increasingly sophisticated market. Nominations are judged on a supporting statement that outlines the type of work a company has been doing in the pension fund space, be it in one or multiple European countries; any particular highlights over the last year; how their offering stands out from the rest in terms of excellence, innovation and, where relevant, performance; and what has been done to add value in the overall pensions space. |
| <b>What is the category?</b>  | ESG/SRI Provider of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: European Pensions<br>Name: European Pensions Awards 2022   |
| <b>Date awarded</b>           | April 2022  |
| <b>Supporting information</b> | <a href="http://www.europeanpensions.net/awards/">http://www.europeanpensions.net/awards/</a>   |

### Winner: Best Asset Manager - Sustainable Investing

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The awards recognise those funds and asset managers that have served investors well over the long term, which Morningstar's manager research team believes will be able to deliver strong risk-adjusted returns in the long term.   |
| <b>What is the category?</b>  | Best Asset Manager - Sustainable Investing  |
| <b>Who owns the award?</b>    | Publication/Group: Morningstar<br>Name: Morningstar Awards for Investing Excellence 2022  |
| <b>Date awarded</b>           | March 2022  |
| <b>Supporting information</b> | <a href="https://www.morningstar.co.uk/uk/news/219985/morningstar-awards-for-investing-excellence-2022—best-asset-manager-sustainable-investing.aspx">https://www.morningstar.co.uk/uk/news/219985/morningstar-awards-for-investing-excellence-2022—best-asset-manager-sustainable-investing.aspx</a> |

## Winner: Best Fund over 3 Years: Global Multi-Cap Core Funds – Pax Global Environmental Markets Fund

|                        |   |
|------------------------|---|
| What is the criteria?  | The award recognises excellence in delivering consistently strong risk-adjusted performance relative to peers.          |
| What is the category?  | Best Fund over 3 Years: Global Multi-Cap Core Funds   |
| Who owns the award?    | Publication/Group: Lipper<br>Name: Refinitiv Lipper Fund Awards 2022, United States                                     |
| Date awarded           | March 2022  |
| Supporting information | <a href="https://www.lipperfundawards.com/Awards/UnitedStates">https://www.lipperfundawards.com/Awards/UnitedStates</a> |

## Winner: Best Fund over 10 Years: Global Multi-Cap Core Funds – Pax Global Environmental Markets Fund

|                        |   |
|------------------------|---|
| What is the criteria?  | The award recognises excellence in delivering consistently strong risk-adjusted performance relative to peers.          |
| What is the category?  | Best Fund over 10 Years: Global Multi-Cap Core Funds  |
| Who owns the award?    | Publication/Group: Lipper<br>Name: Refinitiv Lipper Fund Awards 2022, United States                                     |
| Date awarded           | March 2022  |
| Supporting information | <a href="https://www.lipperfundawards.com/Awards/UnitedStates">https://www.lipperfundawards.com/Awards/UnitedStates</a> |

## Winner: Active Manager of the Year

|                       |   |
|-----------------------|---|
| What is the criteria? | The award recognises the manager that has demonstrated consistent outperformance and an innovative approach to its investment strategy. |
| What is the category? | Active Manager of the Year  |
| Who owns the award?   | Publication/Group: Pensions Age<br>Name: Pensions Age Awards 2022   |
| Date awarded          | February 2022   |

#### Supporting information

[Shortlist 2022 // Pensions Age Awards](#)

### Winner: 2021 DEI Leader – Heather Smith, Impax

#### What is the criteria?

The Awards recognise individuals who are advocating for or otherwise contributing to member organisations' diversity, equity and inclusiveness

#### What is the category?

Leaders

#### Who owns the award?

Publication/Group: Defined Contribution Institutional Investment Association  
Name: Diversity, Equity & Inclusion Awards

#### Date awarded

December 2021

#### Supporting information

<https://dciiia.org/page/2021DEIRisingStarsLeaders>

### Highly commended: Best ESG Paper 2021 - Water: From a systemic and unpriced risk to a measurable opportunity with positive impact

#### What is the criteria?

The awards celebrate content from the global institutional investment industry, across 10 different categories. The awards aim to highlight quality, cutting edge, and deeply engaging thought leadership, with consideration from the judges to readability, appeal and relevance to an institutional investor audience.

#### What is the category?

Best ESG Paper 2021

#### Who owns the award?

Publication/Group: Savvy Investor  
Name: Savvy Investor Awards 2021

#### Date awarded

December 2021

#### Supporting information

[The Best ESG Papers of 2021 \(Savvy Investor Awards\) | Savvy Investor](#) – Subscription required

### Shortlisted: Impact Manager of the Year

#### What is the criteria?

The winner is a manager that demonstrates excellence in delivering both a financial and impact return from investment strategies, and in measuring and reporting on both those goals.

|                               |   |
|-------------------------------|---|
| <b>What is the category?</b>  | Impact Manager of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: LAPF<br>Name: LAPF Investment Awards 2021                              |
| <b>Date awarded</b>           | December 2021   |
| <b>Supporting information</b> | <a href="#">Adviser Shortlist - lapfinvestmentsawards.com - lapfinvestmentsawards.com</a> |

### Shortlisted: European Asset Management Firm of the Year €20bn-€100bn

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Entrants must demonstrate exceptional outcomes for the stated investment strategy during the twelve month period. Key evidence includes AUM at the start and end of the twelve month period, pattern of net inflows, number of funds and their performance figures, and a list of markets in which the firm is active. Further evidence of success includes a firm's record in customer service, product development and launches, and thought leadership or research. |
| <b>What is the category?</b>  | European Asset Management Firm of the Year €20bn-€100bn  |
| <b>Who owns the award?</b>    | Publication/Group: Funds Europe<br>Name: Funds Europe Awards 2021  |
| <b>Date awarded</b>           | December 2021  |
| <b>Supporting information</b> | <a href="https://www.funds-europe.com/awards-2021-shortlists">https://www.funds-europe.com/awards-2021-shortlists</a>  |

### Highly commended: Rising Star of the Year (small to medium firms) – Evie Paterson, Impax

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Entrants must provide information on their careers, recent achievements, how they have helped others in the sector, as well as a testimonial to support their entry. Submissions are then considered by a judging panel, drawn from across the industry, who decide the finalists and winners. |
| <b>What is the category?</b>  | Rising Star of the Year (small to medium firms)  |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Women in Investment Awards 2021  |
| <b>Date awarded</b>           | November 2021  |
| <b>Supporting information</b> | <a href="https://www.investmentweek.co.uk/news/4041034/investment-reveals-winners-women-investment-awards-2021">https://www.investmentweek.co.uk/news/4041034/investment-reveals-winners-women-investment-awards-2021</a>  |

## Future 40: Impact Investment Funds – Impax New Energy Strategy

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | A clear impact agenda with demonstrated results and the stories behind leading impact investments. Specifically, entrants must describe their firm's impact strategy, explain how they are measuring impact and performing against these measurements. They must also outline a key impact investment from the previous 12 months and what impact it has delivered. |
| <b>What is the category?</b>  | Future 40: Impact Investment Funds  |
| <b>Who owns the award?</b>    | Publication/Group: Real Deals<br>Name: Real Deals Future 40: Impact Investment Funds  |
| <b>Date awarded</b>           | November 2021   |
| <b>Supporting information</b> | <a href="https://realdeals.eu.com/article/future-40-impact-investment-funds">https://realdeals.eu.com/article/future-40-impact-investment-funds</a> - Subscription required   |

## Finalist: Sustainable Equity Manager of the Year

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | A shortlist is decided based on asset managers who have demonstrated excellent performance. Shortlisted entrants must then explain how they differentiate themselves from their peers, detailing product and client service innovations they have made over the previous 12 months. In addition, entrants must describe their approach to sustainability, diversity and inclusion. |
| <b>What is the category?</b>  | Sustainable Equity Manager of the Year   |
| <b>Who owns the award?</b>    | Publication/Group: Professional Pensions<br>Name: Professional Pensions Investment Awards 2021   |
| <b>Date awarded</b>           | November 2021  |
| <b>Supporting information</b> | <a href="https://www.professionalpensions.com/news/4041016/pp-investment-awards-2021-winners">https://www.professionalpensions.com/news/4041016/pp-investment-awards-2021-winners</a>  |

## Winner: 'Environmental' Company of the year – Impax Environmental Markets plc

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | Companies are shortlisted using scores from the AIC, supported by Morningstar data. The scores are calculated by looking at cumulative NAV returns over three discrete periods. Returns from the previous 12 months are given a 40% weighting, the 12 months before a 30% weighting, and lastly, the 12 months |
|------------------------------|--|

|                               |  |
|-------------------------------|--|
|                               | prior to that a weighting of 20%. The final 10% is from the full cumulative NAV over the three-year period as a whole. This provides a single score out of 100, the highest of which are shortlisted. Shortlisted trusts must then submit a questionnaire which is used in the qualitative judging stage. Judges include leading UK researchers and investors in investment companies, as well as board members in the industry. |
| <b>What is the category?</b>  | 'Environmental' Company of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Investment Company of the Year Awards 2021   |
| <b>Date awarded</b>           | November 2021  |
| <b>Supporting information</b> | <a href="https://investmentweek.co.uk/investment-company-of-the-year-awards-2021-methodology">Investment Company of the Year Awards 2021 - Methodology (investmentweek.co.uk)</a>  |

## Winner: Best Performer, Financials

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | The award acknowledges the best of the best in small and medium sized companies. It is driven by key metric fundamentals, identifying the 50 companies that offer the best blend of growth and quality as ranked by finnCap's equity analysis tool. As well as identifying the UK's best companies, the awards also celebrate the achievements and innovation of these business leaders. |
| <b>What is the category?</b>  | Best Performer, Financials   |
| <b>Who owns the award?</b>    | Publication/Group: finnCap Group<br>Name: Ambition Nation Listed 50 Awards 2020/2021   |
| <b>Date awarded</b>           | October 2021   |
| <b>Supporting information</b> | <a href="https://www.finncap.com/ambition-nation/ambition-nation-listed-50">https://www.finncap.com/ambition-nation/ambition-nation-listed-50</a>  |

## Winner: Best Manager in Equity - Ecology – Justin Winter, Impax

|                              |   |
|------------------------------|---|
| <b>What is the criteria?</b> | To win an award, the performance of the fund manager is analysed using the Citywire Group ratings methodology. Here, they are measured against the best global talent in the relevant investment sector. The winner is the best performing manager whose fund is open to German investors |
| <b>What is the category?</b> | Best Manager in Equity - Ecology  |

|                               |   |
|-------------------------------|---|
| <b>Who owns the award?</b>    | Publication/Group: Citywire<br>Name: Citywire Deutschland Awards 2021 |
| <b>Date awarded</b>           | October 2021  |
| <b>Supporting information</b> | Not available   |

### Winner: AIM Growth Business of the Year

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | The winner of this award must be registered and headquartered in the UK. They must have achieved exceptional, consistent growth in turnover and profitability for at least the past three years. This growth must have been achieved through market disruption, and clear innovation in product or service, backed up by a sustainable growth strategy which has been implemented by a high-quality management team. |
| <b>What is the category?</b>  | AIM Growth Business of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: AIM<br>Name: AIM Awards 2021  |
| <b>Date awarded</b>           | October 2021   |
| <b>Supporting information</b> | <a href="https://www.aim-awards.co.uk/#awards">https://www.aim-awards.co.uk/#awards</a>  |

### Winner: Active Equities Manager of the Year

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | The awards are judged by a panel of industry experts, picked by the editorial team for their deep knowledge of the sector, and reflecting a spread of companies and groups. Winners and commended providers from these awards are picked because they have achieved outstanding performance, upheld the highest standards of service to their clients, and driven the innovation necessary to keep the industry moving forward. Entrants must provide annualised performance data for one, three and five years as well as a explanation of how managers' approach to risk, opportunities and costs helped clients achieve their objectives. For the innovation section, judges look at changes introduced to improve outcomes for clients over the year. Claims of 'ESG' integration must be backed up by evidence, including details of voting and stewardship activities, where appropriate. Lastly, entrants must show how they help their clients solve problems, initiatives they have taken on board and how these are being supported? |
| <b>What is the category?</b> | Active Equities Manager of the Year  |



|                               |   |
|-------------------------------|---|
| <b>Who owns the award?</b>    | Publication/Group: Financial Times/Pensions Expert<br>Name: FT Pensions Expert Pension and Investment Provider Awards 2021      |
| <b>Date awarded</b>           | October 2021  |
| <b>Supporting information</b> | <a href="#">2021 WINNERS   Resetting the Pensions Agenda: Pension and Investment Provider Awards webinar 2021 (bizzabo.com)</a> |

### Approved as signatories of the UK Stewardship Code, by the FRC, the UK regulator

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | To become a signatory to the Code, organisations must submit to the FRC a Stewardship Report demonstrating how they have applied the Code's Principles in the previous 12 months. The report may cover any 12-month period beginning after 1 January 2020. The FRC then assesses the report and if it meets reporting expectations, the organisation is listed as a signatory to the Code. Once listed, organisations must annually report to remain signatories. |
| <b>What is the category?</b>  | Signatories of the UK Stewardship Code  |
| <b>Who owns the award?</b>    | Publication/Group: FRC<br>Name: Signatories of the UK Stewardship Code  |
| <b>Date awarded</b>           | September 2021  |
| <b>Supporting information</b> | <a href="https://www.frc.org.uk/investors/uk-stewardship-code/uk-stewardship-code-signatories">https://www.frc.org.uk/investors/uk-stewardship-code/uk-stewardship-code-signatories</a>   |

### Winner: Most Sustainable Fund Management Group UK 2021

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | The judging criteria includes achievements, past performance, growth, risk management, sustainability, customer service, use of technology and innovation. |
| <b>What is the category?</b>  | Most Sustainable Fund Management Group UK  |
| <b>Who owns the award?</b>    | Publication/Group: International Business Magazine<br>Name: International Business Magazine Awards 2021  |
| <b>Date awarded</b>           | September 2021   |
| <b>Supporting information</b> | <a href="https://intlbn.com/award-winners-2021/#Europe2021">https://intlbn.com/award-winners-2021/#Europe2021</a>  |

## Shortlisted: Best Sustainable and ESG Fund Management Group of the Year (AUM over £20bn)

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | Entries are judged by a panel of sustainable and ESG investment experts. Entrants must provide examples of innovation, original thinking, inspiring leadership or wider industry impact in this area. |
| <b>What is the category?</b>  | Best Sustainable and ESG Fund Management Group of the Year (AUM over £20bn)   |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Sustainable & ESG investment Awards 2021  |
| <b>Date awarded</b>           | September 2021  |
| <b>Supporting information</b> | <a href="https://www.investmentweek.co.uk/sustainable-esg-investment-awards-methodology">Sustainable &amp; ESG Investment Awards - Methodology (investmentweek.co.uk)</a>                             |

## Highly commended: Investment Manager of the Year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | Judges measure submissions against three set criteria - innovation, performance, and service. The overall aim of the awards is to recognise providers that offer the highest level of innovation, performance and service to occupational pension schemes and their members - and have done the most to improve this level of performance, service and innovation over the past year (the 12 months to December 31, 2020). Judges award up to 100 marks on three set criteria - with up to 40 marks for innovation and up to 30 marks each on performance/benefit to clients and service standards. |
| <b>What is the category?</b>  | Investment Manager of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Professional Pensions<br>Name: Professional Pensions UK Pensions Awards 2021   |
| <b>Date awarded</b>           | September 2021  |
| <b>Supporting information</b> | <a href="https://ukpensionsawards.com/live/en/page/2021-winners">https://ukpensionsawards.com/live/en/page/2021-winners</a>   |

## Shortlisted: Best use of Video

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | Judges look for striking videos that captured the attention of customers and prospects. Entries should include great audio-visual production qualities or stand out for their great storytelling excellence. Entries can also be submitted because they represented a lightning-fast response to the needs of customers in a pandemic. This award includes animated films and embraces both commissioned and in-house projects. This award is open to all businesses |
|------------------------------|--|

|                               |  |
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|                               | operating in the retail, institutional asset management, and wealth management sectors.  |
| <b>What is the category?</b>  | Best use of Video  |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Investment Marketing & Innovation Awards 2021  |
| <b>Date awarded</b>           | July 2021  |
| <b>Supporting information</b> | <a href="https://investmentmarketingawards.co.uk">Investment Marketing and Innovation Awards 2021 - Categories (investmentmarketingawards.co.uk)</a> |

### Shortlisted: Thought Leadership Work – Retail

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Focused on the retail investment industry, the judges look for fresh thinking, effective communication of ideas, and evidence of genuine impact. The thought leadership entry can be published research, papers, blogs, market commentary in an organised series, or an academic paper, and entries can include videos and podcasts. This award is also a chance for academics and their institutions to showcase their work. The thought leadership work should have direct relevance to investments, for example: investment styles, how to achieve investment objectives, themes such as ESG, investment performance, new understanding of investor behaviour, pricing models, governance, or regulation. Content must have been published in 2020 or 2021. |
| <b>What is the category?</b>  | Thought Leadership Work - Retail   |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Investment Marketing & Innovation Awards 2021  |
| <b>Date awarded</b>           | July 2021  |
| <b>Supporting information</b> | <a href="https://investmentmarketingawards.co.uk">Investment Marketing and Innovation Awards 2021 - Categories (investmentmarketingawards.co.uk)</a>   |

### Highly commended: Thought Leadership Work – Institutional

|                              |   |
|------------------------------|---|
| <b>What is the criteria?</b> | Focused on the institutional investment industry, the judges look for fresh thinking, effective communication of ideas, and evidence of genuine impact. The thought leadership entry can be published research, papers, blogs, market commentary in an organised series, or an academic paper, and entries can include videos and podcasts. This award is also a chance for academics and their institutions to showcase their work. The thought leadership work should have direct relevance to investments, for example: investment styles, how to achieve investment objectives, themes such as ESG, investment performance, |
|------------------------------|---|

|                               |  |
|-------------------------------|--|
|                               | new understanding of investor behaviour, pricing models, governance, or regulation. Content must have been published in 2020 or 2021.                |
| <b>What is the category?</b>  | Thought Leadership Work - Institutional  |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Investment Marketing & Innovation Awards 2021  |
| <b>Date awarded</b>           | July 2021  |
| <b>Supporting information</b> | <a href="https://investmentmarketingawards.co.uk">Investment Marketing and Innovation Awards 2021 - Categories (investmentmarketingawards.co.uk)</a> |

### Shortlisted: Sustainability Provider of the Year

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Pension funds are becoming increasingly aware of the important role sustainability plays today. The award recognises the providers who are leading the way in this crucial and increasingly sophisticated field. |
| <b>What is the category?</b>  | Sustainability Provider of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Pensions Age<br>Name: Pensions Age Awards 2021  |
| <b>Date awarded</b>           | July 2021  |
| <b>Supporting information</b> | <a href="#">Choose your categories // Pensions Age Awards</a>  |

### Winner: Best sustainability reporting by an asset or fund manager: 'large' category

|                              |   |
|------------------------------|---|
| <b>What is the criteria?</b> | The Sustainable Investment Awards 2019 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds. |
| <b>What is the category?</b> | Best sustainability reporting by an asset or fund manager: 'large' category   |
| <b>Who owns the award?</b>   | Publication/Group: Environmental Finance<br>Name: Sustainable Investment Awards 2021  |
| <b>Date awarded</b>          | June 2021   |



**Supporting information**

[Best sustainability reporting by an asset or fund manager, large: Impax Asset Management - Environmental Finance \(environmental-finance.com\)](https://www.environmental-finance.com/)

**Shortlisted: Asset Manager of the Year (up to €100bn AUM)****What is the criteria?**

Recognises asset managers with up to €100bn AUM in the institutional or retail asset management spaces, that have not only displayed innovation in what they do to take advantage of the opportunities out there, but also have the performance numbers to prove their expertise.

**What is the category?**

Asset Manager of the Year (up to €100bn AUM)

**Who owns the award?**

Publication/Group: Money Age  
Name: The Asset Management Awards 2021

**Date awarded**

May 2021

**Supporting information**

<https://www.moneyage.co.uk/assetmanagementawards/shortlist21.php>

**Winner: Impact Manager of the Year****What is the criteria?**

The winner is a manager that demonstrates excellence in delivering both a financial and impact return from investment strategies, and in measuring and reporting on both of these.

**What is the category?**

Impact Manager of the Year

**Who owns the award?**

Publication/Group: LAPF  
Name: LAPF Investment Awards 2020

**Date awarded**

December 2020

**Supporting information**

[2020 LAPF Investments Awards - lapfinvestmentsawards.com - lapfinvestmentsawards.com](https://lapfinvestmentsawards.com/)

**Winner: Best Specialist Equity Group of the Year****What is the criteria?**

The awards are judged using a combination of quantitative and qualitative criteria by a panel of leading fund selectors and researchers. Entrants must complete a detailed questionnaire drilling down into areas including fund/group performance, team processes and culture, product innovation, and how the

|                               |   |
|-------------------------------|---|
|                               | strategies fit into client portfolios. Factors setting the winners apart include uniqueness of asset class and strategy, consistency of returns, strong management teams, local teams and resources, and providing affordable access for investors to a specialist area of the market. This year in particular, the judges gave ESG considerations a high weighting in their deliberations. |
| <b>What is the category?</b>  | Best Specialist Equity Group of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Specialist Investment Awards 2020   |
| <b>Date awarded</b>           | December 2020   |
| <b>Supporting information</b> | <a href="https://www.investmentweek.co.uk/news/4024206/revealed-winners-of-the-specialist-investment-awards-2020">https://www.investmentweek.co.uk/news/4024206/revealed-winners-of-the-specialist-investment-awards-2020</a>   |

### Shortlisted: ESG/SRI Provider of the Year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | This award recognises the leaders in this significant and increasingly sophisticated market. Nominations are judged on a supporting statement that outlines the type of work a company has been doing in the pension fund space, be it in one or multiple European countries; any particular highlights over the last year; how their offering stands out from the rest in terms of excellence, innovation and, where relevant, performance; and what has been done to add value in the overall pensions space. |
| <b>What is the category?</b>  | ESG/SRI Provider of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: European Pensions<br>Name: European Pensions Awards 2020   |
| <b>Date awarded</b>           | December 2020   |
| <b>Supporting information</b> | <a href="http://www.europeanpensions.net/awards/">http://www.europeanpensions.net/awards/</a>   |

### Shortlisted: Impact Company of the Year Award

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | This award is for demonstrable impact as a part of normal business. For example, creating a sustainable supply chain or ensuring ethical practices throughout the operations. Entries can be of direct impact or via investment practices. The judges seek evidence of the scale of impact relative to the size of the organisation and of ongoing commitment rather than a single event, creating a lasting change. |
| <b>What is the category?</b> | Impact Company of the year   |

|                               |   |
|-------------------------------|---|
| <b>Who owns the award?</b>    | Publication/Group: Better Society<br>Name: Better Society Awards 2020 |
| <b>Date awarded</b>           | December 2020   |
| <b>Supporting information</b> | <a href="#">Categories // Better Society Awards</a>                   |

### Winner: Sustainable Reporting Award

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Accurate reporting and measurement are vital components for creating a better society, and this informant must not only be gained, it must also be communicated so that progress can be charted. This award is for the depth and presentation of corporate information within a report that can make readers understand its impact and contribution to SDGs. |
| <b>What is the category?</b>  | Sustainable Reporting  |
| <b>Who owns the award?</b>    | Publication/Group: Better Society<br>Name: Better Society Awards 2020  |
| <b>Date awarded</b>           | December 2020  |
| <b>Supporting information</b> | <a href="#">Categories // Better Society Awards</a>  |

### Winner: Green Finance Award

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | This award is for financial organisations that are helping open up responsible finance either by providing finance for ESG projects or changing their investment strategies to reduce damaging impact. This category is open to finance providers, brokers and in-house teams. |
| <b>What is the category?</b>  | Green Finance  |
| <b>Who owns the award?</b>    | Publication/Group: Better Society<br>Name: Better Society Awards 2020  |
| <b>Date awarded</b>           | December 2020  |
| <b>Supporting information</b> | <a href="#">Categories // Better Society Awards</a>  |

### Winner: European Specialist Investment Firm of the Year

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Entrants must have enhanced the reputation of their particular investment speciality during the twelve-month period. Evidence of success includes growth in AUM, pattern of net inflows, stability of performance, product development and innovation, increased acceptability, and uptake in target markets. Submissions must also demonstrate a coherent company culture contributing to success and explain why this speciality works for them. |
| <b>What is the category?</b>  | European Specialist Investment Firm of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Funds Europe<br>Name: Funds Europe Awards 2020  |
| <b>Date awarded</b>           | November 2020  |
| <b>Supporting information</b> | <a href="https://www.funds-europe.com/awards-2020-winners">https://www.funds-europe.com/awards-2020-winners</a>  |

#### **Winner: 'Environmental and Renewables' Company of the year – Impax Environmental Markets plc**

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | Companies are shortlisted using scores from the AIC, supported by Morningstar data. The scores are calculated by looking at cumulative NAV returns over three discrete periods. Returns from the previous 12 months are given a 40% weighting, the 12 months before a 30% weighting, and lastly, the 12 months prior to that a weighting of 20%. The final 10% is from the full cumulative NAV over the three-year period as a whole. This provides a single score out of 100, the highest of which are shortlisted. Shortlisted trusts must then submit a questionnaire which is used in the qualitative judging stage. Judges include leading UK researchers and investors in investment companies, as well as board members in the industry. |
| <b>What is the category?</b>  | Environmental and Renewables  |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Investment Company of the Year Awards 2020  |
| <b>Date awarded</b>           | November 2020   |
| <b>Supporting information</b> | <a href="https://www.investmentweek.co.uk/investment-company-of-the-year-awards-2021-methodology">Investment Company of the Year Awards 2021 - Methodology (investmentweek.co.uk)</a>   |

#### **Winner: Boutique Manager of the Year – less than £25bn AUM**

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | Excellent performance is crucial, but a longer-term track record is more important than outperformance in the past year. Information on the business's ownership structure, which shows significant equity ownership by staff or other |
|------------------------------|--|



|                               |   |
|-------------------------------|---|
|                               | methods of aligning interests, helps nominations. Evidence of strong inflows and asset growth (for 12 months to March 2020 and to March 2019), innovation, robust customer service, recruitment and business expansion also help. Performance and asset data are limited to no more than five funds – and must include a firm’s best-selling fund and its biggest fund. Performance data should be limited to the 12-month period to the end of March 2020, annualised over one, three and five years – net of fees, plus the most recent 2020 YTD numbers. |
| <b>What is the category?</b>  | Boutique Manager of the Year – less than £25bn AUM  |
| <b>Who owns the award?</b>    | Publication/Group: Financial News<br>Name: Financial News Asset Management Awards 2020  |
| <b>Date awarded</b>           | November 2020   |
| <b>Supporting information</b> | <a href="https://www.fnlondon.com/articles/insight-investment-scoops-trio-of-prizes-in-fns-asset-management-awards-20201104">https://www.fnlondon.com/articles/insight-investment-scoops-trio-of-prizes-in-fns-asset-management-awards-20201104</a> - Subscription required   |

## Winner: Leader of the Year – Ian Simm, Founder and CEO, Impax

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | An award for the Europe-based chief executive officer, chief investment officer or business head at an asset management firm who has added the most value to the business they oversee and their clients in the 12 months to March 2020. Candidates must evidence strong asset growth and inflows for this period (versus March 2019), as well as investment performance and/or business success owing to, and initiatives personally undertaken by, the individual. Evidence of innovation, new strategies/initiatives/ approaches, recruitment, mergers, joint ventures, improved efficiency and risk management implemented under the person’s leadership are also considered. Testimonials from colleagues, peers in the industry, but especially from clients, giving specific examples, also help. Performance and asset data to show the success of a person’s business or team is limited to no more than five funds – and must include a firm’s best-selling fund and its biggest fund. Only performance data for the 12-month period to the end of March 2020, annualised over one, three and five years – net of fees – is accepted, plus YTD 2020 numbers where available. |
| <b>What is the category?</b>  | Leader of the Year   |
| <b>Who owns the award?</b>    | Publication/Group: Financial News<br>Name: Financial News Asset Management Awards 2020   |
| <b>Date awarded</b>           | November 2020  |
| <b>Supporting information</b> | <a href="https://www.fnlondon.com/articles/insight-investment-scoops-trio-of-prizes-in-fns-asset-management-awards-20201104">https://www.fnlondon.com/articles/insight-investment-scoops-trio-of-prizes-in-fns-asset-management-awards-20201104</a> - Subscription required  |

## Shortlisted: Best Environmental/Climate Change Fund – Impax Environmental Markets plc

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Entries are judged by a panel of sustainable and ESG investment experts. They consider factors including: strong performance record; strength of the team; meeting the fund's sustainable & ESG objectives; excellence in sustainable and ESG investing within the investment process; strong engagement record; effective client communication; wider fund impact and developments in the past year. To qualify, funds must have a minimum one-year track record. |
| <b>What is the category?</b>  | Best Environmental/Climate Change Fund   |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Sustainable & ESG Investment Awards 2020   |
| <b>Date awarded</b>           | November 2020  |
| <b>Supporting information</b> | <a href="https://www.investmentweek.co.uk/sustainable-esg-investment-awards-methodology">Sustainable &amp; ESG Investment Awards - Methodology (investmentweek.co.uk)</a>  |

### Winner: Sustainable & ESG Fund Management Group of the Year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | Entries are judged by a panel of sustainable and ESG investment experts. Entrants must provide examples of innovation, original thinking, inspiring leadership or wider industry impact in this area. |
| <b>What is the category?</b>  | Sustainable & ESG Fund Management Group of the Year   |
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Sustainable & ESG Investment Awards 2020  |
| <b>Date awarded</b>           | November 2020   |
| <b>Supporting information</b> | <a href="https://www.investmentweek.co.uk/sustainable-esg-investment-awards-methodology">Sustainable &amp; ESG Investment Awards - Methodology (investmentweek.co.uk)</a>                             |

### Shortlisted: Best Sustainable and ESG Equity Fund – Impax Global Opportunities

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | Entries are judged by a panel of sustainable and ESG investment experts. They consider factors including: strong performance record; strength of the team; meeting the fund's sustainable & ESG objectives; excellence in sustainable and ESG investing within the investment process; strong engagement record; effective client communication; wider fund impact and developments in the past year. To qualify, funds must have a minimum one-year track record. |
| <b>What is the category?</b> | Best Sustainable and ESG Equity Fund   |

|                               |   |
|-------------------------------|---|
| <b>Who owns the award?</b>    | Publication/Group: Investment Week<br>Name: Sustainable & ESG Investment Awards 2020  |
| <b>Date awarded</b>           | November 2020   |
| <b>Supporting information</b> | <a href="https://investmentweek.co.uk/sustainable-esg-investment-awards-methodology">Sustainable &amp; ESG Investment Awards - Methodology (investmentweek.co.uk)</a> |

### Winner: Specialist Investment Manager of the Year

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | Independence, integrity and genuine insight are integral to the judging process and are conducted by an expert panel drawn from both private banks and trusted advisors/consultants to the sector. |
| <b>What is the category?</b>  | Specialist Investment Manager of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: WealthBriefing<br>Name: WealthBriefing European Awards 2020   |
| <b>Date awarded</b>           | October 2020   |
| <b>Supporting information</b> | <a href="https://clearviewpublishing.com/events/wealthbriefing-european-awards-2020/">https://clearviewpublishing.com/events/wealthbriefing-european-awards-2020/</a>                              |

### Runners up: Global ESG Equities strategy – Impax Global Opportunities

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The awards celebrate the leading ESG funds that are driving new standards and deliver alpha in a variety of categories.   |
| <b>What is the category?</b>  | Global ESG Equities strategy  |
| <b>Who owns the award?</b>    | Publication/Group: SharingAlpha<br>Name: Wisdom of The Selector Awards 2020   |
| <b>Date awarded</b>           | October 2020  |
| <b>Supporting information</b> | <a href="https://informaconnect.com/imipower2020-wisdom-of-the-selector-awards-the-winners-announced/">IM   Power 2020 Wisdom of the Selector Awards: the winners announced! (informaconnect.com)</a> |

### Winner: Fund of the year – listed equity - Impax Environmental Markets plc

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The awards seek to recognise and reward the work of impact investors and highlight emerging pockets of best practice, across all asset classes and all geographies. Nominations must demonstrate excellence in a particular category, supporting material can also be included to endorse the nomination. |
| <b>What is the category?</b>  | Fund of the year – listed equity  |
| <b>Who owns the award?</b>    | Publication/Group: Environmental Finance<br>Name: Impact Awards 2020  |
| <b>Date awarded</b>           | September 2020  |
| <b>Supporting information</b> | <a href="https://www.environmental-finance.com/content/awards/impact-awards-2020/">https://www.environmental-finance.com/content/awards/impact-awards-2020/</a>   |

### Winner: Boutique Manager of the Year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The Managing Editor of Global Investor picks the winners using the submissions from the firms themselves and makes a decision based on a number of criteria. These are performance, including data on fund performance, innovation such as new products, services or offices, growth which can be in the number or value of funds, or the size of the team itself, as well as the application of sustainable strategies including ESG and efforts to promote diversity in the work-place. |
| <b>What is the category?</b>  | Boutique Manager of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Global Investor<br>Name: Global Investor Investment Excellence Awards 2020   |
| <b>Date awarded</b>           | September 2020  |
| <b>Supporting information</b> | <a href="#">Investment Excellence Awards 2020 - winners announced   Awards   Global Investor Group</a>  |

### Highly commended: ESG / SRI Manager of the Year

|                              |  |
|------------------------------|--|
| <b>What is the criteria?</b> | The Pension and Investment Provider Awards are judged by a panel of industry experts, picked by the editorial team for their deep knowledge of the sector, and reflecting a spread of companies and groups. Winners and commended providers from these awards are picked because they have achieved outstanding performance, upheld the highest standards of service to their clients, and driven the innovation necessary to keep the industry moving forward. Entrants for ESG/SRI Manager of the Year must include their policy towards environmental, social and governance risks, and how they ensure that this approach is applied across all of their funds and workforce. They must also |
|------------------------------|--|



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|                               | provide evidence of this attainment, focusing on transparency, accountability and measurement of progress in ESG integration. Lastly, entrants must show how they have updated their approach over the year, focusing on how changes have improved outcomes for clients. |
| <b>What is the category?</b>  | ESG/SRI Manager of the Year  |
| <b>Who owns the award?</b>    | Publication/Group: Financial Times/Pensions Expert<br>Name: FT Pensions Expert Pension and Investment Provider Awards 2020   |
| <b>Date awarded</b>           | September 2020   |
| <b>Supporting information</b> | <a href="#">Pensions Expert: Pension &amp; Investment Provider Awards</a>  |

## 2020 UNPRI assessment (Principles for Responsible Investment): A+ Strategy and Governance

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | As signatories to the UNPRI, Impax reports annually on its responsible investment activities. The UNPRI then assess the ESG and Sustainability credentials of firms across various categories, based on these activities, and scores them against their peers. Impax was awarded the highest score, A+, for its overarching approach to ESG in the Strategy and Governance category. |
| <b>What is the category?</b>  | Strategy and Governance  |
| <b>Who owns the award?</b>    | Publication/Group: UNPRI assessment (Principles for Responsible Investment)<br>Name: 2020 UNPRI assessment (Principles for Responsible Investment)   |
| <b>Date awarded</b>           | August 2020  |
| <b>Supporting information</b> | <a href="#">Impax Asset Management - Assessment Report 2020 (impaxam.com)</a>  |

## Shortlisted: ESG Initiative of Year

|                              |   |
|------------------------------|---|
| <b>What is the criteria?</b> | The ESG Initiative of the Year Award goes to the asset manager in the institutional or retail asset management space which has developed a strong ESG initiative in the past year and gained or maintained returns whilst implementing this initiative. |
| <b>What is the category?</b> | ESG Initiative of the Year  |
| <b>Who owns the award?</b>   | Publication/Group: Money Age<br>Name: The Asset Management Awards 2020  |
| <b>Date awarded</b>          | April 2020  |

**Supporting information**

<https://www.moneyage.co.uk/assetmanagementawards/shortlist20.php>

## Queen's Awards for Enterprise: Sustainable Development 2020

**What is the criteria?**

Entrants must show how they have achieved outstanding sustainable development for more than two years. They must provide evidence of the benefits, or positive outcomes, of their actions or interventions.

**What is the category?**

Queen's Awards for Enterprise: Sustainable Development

**Who owns the award?**

Publication/Group: Queen's Awards for Enterprise  
Name: Queen's Awards for Enterprise: Sustainable Development

**Date awarded**

April 2020

**Supporting information**

<https://queensawards.blog.gov.uk/about/>

## Shortlisted: Sustainability Provider of the Year

**What is the criteria?**

Pension funds are becoming increasingly aware of the important role sustainability plays today. The award recognises the providers who are leading the way in this crucial and increasingly sophisticated field.

**What is the category?**

Sustainability Provider of the Year

**Who owns the award?**

Publication/Group: Pensions Age  
Name: Pensions Age Awards 2020

**Date awarded**

February 2020

**Supporting information**

<https://www.pensionsage.com/awards/shortlist20.php>

## Winner: European Specialist Investment Firm of the Year

**What is the criteria?**

Entrants must have enhanced the reputation of their particular investment speciality during the twelve-month period. Evidence of success includes growth in AUM, pattern of net inflows, stability of performance, product development and innovation, increased acceptability, and uptake in target markets. Submissions must also demonstrate a coherent company culture contributing to success and explain why this speciality works for them.

|                               |   |
|-------------------------------|---|
| <b>What is the category?</b>  | European Specialist Investment Firm of the Year   |
| <b>Who owns the award?</b>    | Publication/Group: Funds Europe<br>Name: Funds Europe Awards 2019   |
| <b>Date awarded</b>           | November 2019   |
| <b>Supporting information</b> | <a href="https://www.funds-europe.com/awards-2019-winners">https://www.funds-europe.com/awards-2019-winners</a> |

### Winner: AIM Company of the year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The awards are voted for by the readers and of Shares Magazine. The company with the most votes in its category wins. |
| <b>What is the category?</b>  | AIM Company of the Year   |
| <b>Who owns the award?</b>    | Publication/Group: Shares Magazine<br>Name: Shares Awards 2019  |
| <b>Date awarded</b>           | November 2019   |
| <b>Supporting information</b> | <a href="https://www.sharesmagazine.co.uk/awards/about">https://www.sharesmagazine.co.uk/awards/about</a>             |

### The London Stock Exchange (LSE) Green Economy Mark – for Impax Asset Management and for Impax Environmental Markets plc

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | The LSE Green Economy Mark has been created to clearly highlight to investors those companies and investment funds on all segments of the Main Market and AIM that derive 50% or more of their total annual revenues from products and services that contribute to the global green economy. |
| <b>What is the category?</b>  | The Green Economy Mark   |
| <b>Who owns the award?</b>    | Publication/Group: London Stock Exchange<br>Name: London Stock Exchange Green Economy Mark   |
| <b>Date awarded</b>           | October 2019   |
| <b>Supporting information</b> | <a href="#">LSE GREEN ECONOMY REPORT 2020 JULY.pdf (londonstockexchange.com)</a>   |

## Winner: Boutique investment manager of the year

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The Sustainable Investment Awards 2019 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds. |
| <b>What is the category?</b>  | Boutique investment manager of the year   |
| <b>Who owns the award?</b>    | Publication/Group: Environmental Finance<br>Name: Sustainable Investment Awards 2019  |
| <b>Date awarded</b>           | August 2019   |
| <b>Supporting information</b> | <a href="#">Boutique investment manager of the year – Impax Asset Management - Environmental Finance (environmental-finance.com)</a>  |

## Winner: Best Environmental Fund – Pax Global Environmental Markets Fund

|                               |   |
|-------------------------------|---|
| <b>What is the criteria?</b>  | The Sustainable Investment Awards 2019 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds.   |
| <b>What is the category?</b>  | Best Environmental Fund   |
| <b>Who owns the award?</b>    | Publication/Group: Environmental Finance<br>Name: Sustainable Investment Awards 2019  |
| <b>Date awarded</b>           | August 2019   |
| <b>Supporting information</b> | <a href="https://www.environmental-finance.com/content/awards/sustainable-investment-awards-2019/winners/best-environmental-fund-pax-global-environmental-markets-fund.html">https://www.environmental-finance.com/content/awards/sustainable-investment-awards-2019/winners/best-environmental-fund-pax-global-environmental-markets-fund.html</a> |

## Finalist: Environmental, Social and Governance (ESG) Manager of the Year

|                              |   |
|------------------------------|---|
| <b>What is the criteria?</b> | The ESG Manager of the Year category aims to reward excellence among managers who offer specific ESG-focused funds and strategies over the 12 months to 31 December 2020. Entries are also welcomed from firms who have best integrated ESG across their broader product range and from managers focusing on strategies such as impact investing. |
| <b>What is the category?</b> | Environmental, Social and Governance (ESG) Manager of the Year  |



|                               |   |
|-------------------------------|---|
| <b>Who owns the award?</b>    | Publication/Group: Professional Pensions<br>Name: Professional Pensions UK Pensions Awards 2019 |
| <b>Date awarded</b>           | May 2019  |
| <b>Supporting information</b> | <a href="#">UK Pensions Awards 2019 - Shortlists published (professionalpensions.com)</a>       |

### Winner: 'Gold' for ESG fund management group

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | The Portfolio Adviser Fund Awards are chosen by a 20-strong judging panel of esteemed UK fund buyers. Discounting backward looking detail, such as past performance and whether funds frequent buy lists, judges were asked the following question: for a new client with cash to invest, looking ahead with an investment horizon of at least three years, what are your top-three fund recommendations in each category? Once the winners were collated, Morningstar conducted due diligence on each fund. |
| <b>What is the category?</b>  | ESG fund management group  |
| <b>Who owns the award?</b>    | Publication/Group: Portfolio Adviser<br>Name: Portfolio Adviser Fund Awards 2019   |
| <b>Date awarded</b>           | January 2019   |
| <b>Supporting information</b> | <a href="#">PA Fund Awards winners revealed   Portfolio Adviser (portfolio-adviser.com)</a>  |

### Winner: Circular Economy Investor

|                               |  |
|-------------------------------|--|
| <b>What is the criteria?</b>  | The World Economic Forum's Circulars awards recognise businesses, governments and individuals that use innovation and disruptive technologies to reduce waste, emissions, and the use of harmful materials. There were almost 450 applications received from over 45 countries. Impax Asset Management won the Circular Economy Investor award for encouraging circular investments by mainstream investors. |
| <b>What is the category?</b>  | Circular Economy Investor  |
| <b>Who owns the award?</b>    | Publication/Group: World Economic Forum<br>Name: World Economic Forum Circulars awards 2019  |
| <b>Date awarded</b>           | January 2019   |
| <b>Supporting information</b> | <a href="#">Closing the Loop: Meet the Pioneers Turning our Global Economy Circular &gt; Press releases   World Economic Forum (weforum.org)</a>   |

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