



Regular mail: Pax World Funds PO Box 9824 Providence RI 02940-8024	Overnight mail: Pax World Funds 4400 Computer Drive Westborough MA 01581-1722 Telephone: 1(800) 372-7827
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SIMPLE IRA Transfer of Assets

Use this form to request a transfer of SIMPLE IRA assets held with another custodian to your Pax World Funds SIMPLE IRA. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer for you. If you reached or passed the age Required Minimum Distributions begin (age 70 ½ if you were born on or prior to June 30, 1949 and age 72 if you were born on or after July 1, 1949), you are responsible for distributing any required minimum distribution amounts from your current SIMPLE IRA in advance of the transfer. Please remember the transfer of assets can only occur between SIMPLE IRA accounts. Incomplete information will result in delays in processing your request.

1. Participant Information

First Name	Middle Initial	Last Name	Social Security/Tax ID No.	
Mailing Address		City	State	Zip Code
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Day Telephone	Evening Telephone		Cell Telephone	
Email Address				

Check here if contact information above is new.

2. Investment Instructions

Complete items A and B.

A. I am opening a new SIMPLE IRA and have attached the required SIMPLE IRA Application.

Deposit the proceeds into my existing SIMPLE IRA account # _____

B. Please purchase into the following funds: (Minimum initial investment is \$1,000)

- | | | | |
|---|----------|------------|--|
| Pax Large Cap Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3050) |
| Pax Small Cap Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3048);
<input type="checkbox"/> Class A (3098) |
| Pax ESG Beta® Quality Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3042);
<input type="checkbox"/> Class A (3092) |
| Pax ESG Beta® Dividend Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3041) |
| Pax Global Opportunities Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3051) |
| Pax Global Environmental Markets Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3049);
<input type="checkbox"/> Class A (3099) |
| Pax Ellevest Global Women's Leadership Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3046) |
| Pax MSCI EAFE ESG Leaders Index Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3047) |
| Pax Core Bond Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3045) |
| Pax High Yield Bond Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3044);
<input type="checkbox"/> Class A (3094) |
| Pax Sustainable Allocation Fund | \$ _____ | or _____ % | <input type="checkbox"/> Investor Class (3040) |

3. Current Custodian and Account Information

Please attach your most recent statement, if possible. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

Name of Current Custodian or Agent

Mailing Address of Current Custodian or Agent

City

State

Zip Code

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Daytime Telephone of Custodian

1. Investment to transfer: _____

Account Number: _____ Share Class : _____

Liquidate Entire Account Partial Dollar Amount \$: _____ or # of Shares _____ Transfer In-Kind

For Certificate of Deposits: Immediately* At Maturity (Date: _____)

2. Investment to transfer: _____

Account Number: _____ Share Class : _____

Liquidate Entire Account Partial Dollar Amount \$: _____ or # of Shares _____ Transfer In-Kind

For Certificate of Deposits: Immediately* At Maturity (Date: _____)

* **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

4. Participant Authorization

I authorize the transfer of assets as noted above to my Pax World Funds SIMPLE IRA and authorize my current custodian, Pax World Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature

Date

Medallion Signature Guarantee (if required by your current custodian): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee