IMPAX
Asset Management

Regular mail:	Overnight mail:
Impax Funds P.O. Box 534463 Pittsburgh, PA 15253-4463	Impax Funds Attention: 534463 500 Ross Street, 154-0520 Pittsburgh, PA 15262 Telephone: 1 (800) 372 7827

SIMPLE IRA Rollover Certification

Use this form to certify that a contribution is an eligible rollover contribution. You must complete the rollover within 60 calendar days of your receipt of that distribution.

Restriction on Indirect (60-Day) Rollovers: An IRA participant is allowed only one rollover from one IRA to another (or the same IRA) across all IRAs (Traditional, Rollover, Roth, SEP, SARSEP and SIMPLE) in aggregate that a taxpayer owns in any 12-month or 365-day period. As an alternative, a participant can make an unlimited number of trustee-to-trustee transfers where the proceeds are delivered directly to the receiving financial institution, successor custodian or trustee. You must contact the receiving institution to initiate a trustee-to-trustee transfer. For more information please visit the Internal Revenue Service's web site www.irs.gov using the search term "IRA One-Rollover-Per-Year Rule."

Fields noted with an asterisk (*) are required.

1. Participant Information

Name*		Social Security/Tax ID No.*	
Mailing Address*	City*	State*	Zip Code*
Day Telephone	Evening Telephone	Cell Telephone	
Email Address			

☐ Check here if contact information above is new. The new information will replace the information currently on file.

Impax SIMPLE IRA Account Number (if applicable): ____________

(If you are establishing a new Impax SIMPLE IRA Account, you must also complete a SIMPLE IRA Account Application.)

2. Type of Rollover

☐ **SIMPLE IRA to SIMPLE IRA 60-day Rollover** — I certify that this is a distribution of all or part of my account balance from a SIMPLE IRA and, that this distribution is being rolled over within 60 calendar days. I certify that 365 days have passed since I last received an IRA distribution that I rolled over to this or another IRA.

☐ **IRA to SIMPLE IRA 60-day Rollover** — I certify that I have been a participant in this SIMPLE IRA plan for 2 or more years. I certify that this is a distribution of all or part of my account balance from an IRA that is not a SIMPLE IRA, and that this distribution is being rolled over within 60 calendar days from the date that I received the distribution. I certify that 365 days have passed since I last received an IRA distribution that I rolled over to this or another IRA.

☐ **SIMPLE IRA 3-Year Rollover** — I certify that this is a distribution from this or another IRA* which is being rolled over within 3 calendar years following the date of a (indicate one below). *If this distribution is not from a SIMPLE IRA I understand that I must have been a participant in this SIMPLE IRA plan for 2 or more years.

- ☐ Qualified birth or adoption distribution(s) of up to $5,000 in compliance with Section 72(t)(2)(H) of the Internal Revenue Code. [SPM 6300]
- ☐ Emergency Personal Expense Distribution(s) of up to $1,000 per calendar year in compliance with Section 72(t)(2) of the Internal Revenue Code.
- ☐ A Distribution as a domestic abuse victim of up to $10,000 in compliance with Section 72(t)(2) of the Internal Revenue Code.

☐ **SIMPLE IRA Eligible Rollover Distribution** — I certify that this is a non-periodic distribution from my previous employer's qualified retirement plan of all or part of my account balance, other than the portion of any distribution which is nontaxable, which is being rolled over within 60 calendar days. (Your employer's plan administrator should be able to tell you what portion of your distribution is an "eligible distribution".) I certify that no portion of this rollover is from any portion of a Designated Roth Contribution Account under my employer's qualified retirement plan or from any amount required to be distributed under Internal Revenue Code Sections 408(a)(6) and 401(a)(9), commonly known as a required minimum distribution.

3. Invest as Follows

Amount of Rollover $

Please purchase into the following fund(s):

	Amount to be invested			Investor Class	Class A
Impax Large Cap Fund	$	OR	%	☐ 3050	
Impax Small Cap Fund	$	OR	%	☐ 3048	☐ 3098
Impax US Sustainable Economy Fund	$	OR	%	☐ 3042	☐ 3092
Impax Global Sustainable Infrastructure Fund	$	OR	%	☐ 3041	
Impax Global Opportunities Fund	$	OR	%	☐ 3051	
Impax Global Environmental Markets Fund	$	OR	%	☐ 3049	☐ 3099
Impax Ellevate Global Women's Leadership Fund	$	OR	%	☐ 3046	
Impax Global Social Leaders Fund	$	OR	%	☐ 3052	
Impax International Sustainable Economy Fund	$	OR	%	☐ 3047	
Impax Core Bond Fund	$	OR	%	☐ 3045	
Impax High Yield Bond Fund	$	OR	%	☐ 3044	☐ 3094
Impax Sustainable Allocation Fund	$	OR	%	☐ 3040	

4. Participant Certification

I certify that the contribution described above is an eligible SIMPLE IRA rollover contribution. I certify that this contribution is being rolled over within 60 calendar days (or within 3 years if applicable) or is being rolled directly from my employer's plan or current custodian and meets the requirements for tax law provisions, as described above. I certify that the rollover is not part of a series of payments over my life expectancy or over a period of 10 years or more. The rollover does not include any required minimum distribution, hardship distribution, corrective distribution, or deemed distribution from the employer's qualified retirement plan. I understand that this rollover contribution is irrevocable and involves important tax considerations. Specifically, I understand that a rollover contribution from a pre-tax qualified retirement plan will no longer be eligible for the special averaging, capital gains and separate tax treatment that may be available under my employer's plan. I agree that I am solely responsible for all tax consequences. I understand that if I have not been a participant in this SIMPLE Plan for a minimum of 2 years that only distributions from another SIMPLE IRA are eligible to be rolled over into this account and rollover of assets from any other plan may be ineligible for rollover. I also agree that neither the Custodian nor Impax shall have responsibility for any such tax consequences or any consequences resulting from this amount being ineligible for rollover. Rules regarding rollovers, and their tax implications, are complex. Please refer to IRS Publication 590-b or a professional tax advisor for more information.

I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the Custodian will rely on my instructions within this form when accepting my rollover contribution.

Participant's Signature	Date