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| <b>Regular mail:</b><br>Impax Funds<br>P.O. Box 534463<br>Pittsburgh, PA 15253-4463 | <b>Overnight mail:</b><br>Impax Funds<br>Attention: 534463<br>500 Ross Street, 154-0520<br>Pittsburgh, PA 15262<br>Telephone: 1 (800) 372 7827 |
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## Inherited IRA Transfer of Assets/Direct Rollover

**Do not use this form if you are a Spouse Beneficiary who wishes to move their inherited assets into an IRA in your own name.**

Use this form to request an Inherited IRA trustee-to-trustee transfer of assets or a direct rollover from an existing retirement plan account you hold as the beneficiary of a deceased participant to your Inherited IRA at Impax. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Investor Services at 1 (800) 372-7827.

A trustee-to-trustee transfer is a non-reportable transaction which occurs between like accounts - Inherited Traditional IRA to Inherited Traditional IRA, or Inherited Roth IRA to Inherited Roth IRA. Only assets inherited by the same beneficiary from the same deceased owner may be put in the account. Note: Inherited SEP IRAs (and Inherited SIMPLE IRAs, after the required two-year holding period) can be transferred into a Traditional Inherited IRA. Any assets in an Inherited IRA can only be moved via a trustee-to-trustee transfer.

**Direct Rollover Notice:** If this is a direct rollover of assets from a Qualified Plan, 403(b), or 457 plan, of which you are the beneficiary of a deceased participant, you affirm by signing page 4 of this form, that the assets are eligible for a direct rollover to an Inherited IRA and that this an irrevocable election. The assets will no longer be eligible for special tax treatment which may be accorded to distributions from a Qualified Plan, 403(b), or 457 plan.

You should contact the current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a Qualified plan to an IRA can only be in the form of cash (Transfer-In-Kind is not an option).

**Fields noted with an asterisk (\*) are required.**

### 1. Participant Information

**Inherited IRA Owner**

|                  |                   |                             |           |
|------------------|-------------------|-----------------------------|-----------|
| Name*            |                   | Social Security/Tax ID No.* |           |
| Mailing Address* | City*             | State*                      | Zip Code* |
| Day Telephone    | Evening Telephone | Cell Telephone              |           |
| Email Address    |                   |                             |           |

Check here if contact information above is new. The new information will replace the information currently on file.

**Original IRA Owner's Information**

|  |  |
|--|--|
| Original IRA Owner's full name:        |  |
| Decedent's Date of Birth (mm/dd/yyyy): | Decedent's Date of Birth (mm/dd/yyyy): |

**Successor / Subsequent Beneficiary**

If you are a successor/subsequent beneficiary (i.e., a beneficiary of an Inherited IRA established by a now deceased beneficiary of the deceased original owner), please also provide the Deceased Beneficiary's details.

|  |  |
|--|--|
| Original IRA Beneficiary's full name:  |  |
| Decedent's Date of Birth (mm/dd/yyyy): | Decedent's Date of Birth (mm/dd/yyyy): |

**2. Please Tell Us Where to Invest. Complete Items A, B, C and D.**

**A. Choose one**

- I am opening a new Inherited IRA and have attached the required Impax Inherited IRA application.
- Deposit the proceeds into my existing Inherited IRA Account: #

- B. Type of Account Transferring Into:**  Traditional Inherited IRA (including from SEP, or SIMPLE<sup>1</sup> Inherited IRA)  
 Roth Inherited IRA

<sup>1</sup> If the required two-year holding period has been met.

**C. Please purchase into the following fund(s):**

|   | Amount to be invested |    |   | Investor Class                | Class A                       |
|---|-----------------------|----|---|-------------------------------|-------------------------------|
|   | \$                    | OR | % |                               |                               |
| Impax Large Cap Fund                          | \$                    | OR | % | <input type="checkbox"/> 3050 |                               |
| Impax Small Cap Fund                          | \$                    | OR | % | <input type="checkbox"/> 3048 | <input type="checkbox"/> 3098 |
| Impax US Sustainable Economy Fund             | \$                    | OR | % | <input type="checkbox"/> 3042 | <input type="checkbox"/> 3092 |
| Impax Global Sustainable Infrastructure Fund  | \$                    | OR | % | <input type="checkbox"/> 3041 |                               |
| Impax Global Opportunities Fund               | \$                    | OR | % | <input type="checkbox"/> 3051 |                               |
| Impax Global Environmental Markets Fund       | \$                    | OR | % | <input type="checkbox"/> 3049 | <input type="checkbox"/> 3099 |
| Impax Ellevest Global Women's Leadership Fund | \$                    | OR | % | <input type="checkbox"/> 3046 |                               |
| Impax Global Social Leaders Fund              | \$                    | OR | % | <input type="checkbox"/> 3052 |                               |
| Impax International Sustainable Economy Fund  | \$                    | OR | % | <input type="checkbox"/> 3047 |                               |
| Impax Core Bond Fund                          | \$                    | OR | % | <input type="checkbox"/> 3045 |                               |
| Impax High Yield Fund                         | \$                    | OR | % | <input type="checkbox"/> 3044 | <input type="checkbox"/> 3094 |
| Impax Sustainable Allocation Fund             | \$                    | OR | % | <input type="checkbox"/> 3040 |                               |

**D. Type of Request (Indicate a Transfer of Assets or a Direct Rollover):**

- IRA Transfer of Assets (like accounts)<sup>1</sup>
- Direct Rollover<sup>2</sup> of Inherited Qualified Plan assets to an Inherited IRA
- Direct Rollover<sup>2</sup> of inherited 403(b) or 457 assets to an Inherited IRA

<sup>1</sup> SEP-IRAs can be transferred into an Inherited Traditional IRA

<sup>2</sup> Please contact your current plan administrator for distribution/rollover requirements, your plan may require in-house forms or other action.

**3. Current Custodian and Account Information. Complete Items A, B, and C. Review and Complete Item D, if necessary.**

For all types of accounts, please attach a copy of your most recent account statement from your current custodian if possible. **Note:** your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

**A. Type of account you are transferring/rolling over from (check one):**

- Inherited Traditional IRA   
  Inherited Roth IRA   
  SEP Inherited IRA   
  SIMPLE Inherited IRA  
 Qualified Plan<sup>1</sup>   
  Qualified Plan Designated Roth<sup>1</sup>   
  403(b) or 457<sup>1</sup>   
  403(b) or 457 Designated Roth<sup>1</sup>

<sup>1</sup> Please contact your current plan administrator for distribution/rollover requirements, your plan may require in-house forms or other action.

**B.**

|  |       |  |           |
|--|-------|--|-----------|
| Name of Current Custodian or Agent*    |       | Daytime Telephone of Current Custodian or Agent* |           |
| Address of Current Custodian or Agent* | City* | State*   | Zip Code* |

**C.**

|                                  |             |
|----------------------------------|-------------|
| <b>1. Investment to transfer</b> |             |
| Account Number                   | Share Class |

- Liquidate Entire Account  
 Partial Dollar Amount \$  or Number of Shares   
 Transfer In-Kind

For Certificate of Deposits:   
 Immediately<sup>1</sup>   
 At Maturity: Date

<sup>1</sup> **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

|                                  |             |
|----------------------------------|-------------|
| <b>2. Investment to transfer</b> |             |
| Account Number                   | Share Class |

- Liquidate Entire Account  
 Partial Dollar Amount \$  or Number of Shares   
 Transfer In-Kind

For Certificate of Deposits:   
 Immediately<sup>1</sup>   
 At Maturity: Date

<sup>1</sup> **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

**D. If the Inherited IRA has established required minimum distribution (“RMD”) payments, please provide the prior year account value.**

Prior year end account value: \$

**4. Participant Authorization**

*I authorize the transfer of assets or direct rollover as noted above to my Impax IRA and authorize my current custodian, Impax and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.*

|                         |      |
|-------------------------|------|
| Participant's Signature | Date |
|-------------------------|------|

**Medallion Signature Guarantee (if required by your current custodian):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

|                                      |
|--------------------------------------|
| <b>Medallion Signature Guarantee</b> |
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