

**Gift of Shares Form - Donor**

Use this form to make a gift of shares as a transfer in-kind to an individual or a non-profit organization. Gifting of shares does not result in a sale of securities and the donor does not incur a taxable event – this will not be reported on the Donor’s IRS 1099-DIV/B Form. Please consult with your tax advisor if you have any questions regarding the gifting of shares.

This form is not to be used for qualified charitable distributions from Individual Retirement Accounts (IRAs).

**To the Donor:** Please complete Sections 1 through 4 then forward the original form and additional pages, (if any) along with the Pax World Funds Prospectus and New Account Application to the recipient for completion.

**Once completed, please forward to the Recipient** for acceptance, and instructions on disposition of shares.

**1. ACCOUNT INFORMATION**

Account Number

Account Owner's First Name (or Trustee, Custodian, etc.)			Middle Initial	Last Name	
Joint Account Owner's First Name (or Trustee, Custodian, etc.)			Middle Initial	Last Name	
Mailing Address			City	State	Zip Code
Day Telephone		Evening Telephone		Cell Telephone	
Email Address					

Check here if contact information above is new. The new information will replace the information currently on file.

**2. GIFTED AMOUNT - THE SHARES INDICATED BELOW WILL BE TRANSFERRED IN-KIND TO THE RECIPIENT.**

Date of Gift (mm/dd/yyyy): \_\_\_\_\_

Full Account.

Partial Account - List Fund(s) and amount(s) below:

Fund: \_\_\_\_\_ Amount: \$ \_\_\_\_\_ or # of Shares: \_\_\_\_\_ or percent: \_\_\_\_\_ %

Fund: \_\_\_\_\_ Amount: \$ \_\_\_\_\_ or # of Shares: \_\_\_\_\_ or percent: \_\_\_\_\_ %

Fund: \_\_\_\_\_ Amount: \$ \_\_\_\_\_ or # of Shares: \_\_\_\_\_ or percent: \_\_\_\_\_ %

**3. RECIPIENT OF GIFTED SHARES**

Please indicate to whom the shares should be transferred. Be sure to check with the person(s) or organization to whom you are giving the shares to ensure that the name and address are correct; (i.e. should the shares be registered in the name of the organization, an endowment trust, special campaign, etc.?)

Recipient's Name (Individual or Joint Owners, Trust, Charitable Organization, etc.):

Street Address (PO Box not permitted, except APO or FPO)	City	State	Zip Code
Mailing Address (if different from above)	City	State	Zip Code

**4. DONOR AUTHORIZATION AND MEDALLION SIGNATURE GUARANTEE:**

The account owner(s) listed in **Section 1** must authorize this transfer of shares by signing below. (Sign in presence of bank officer or broker.) If the account is a trust, custodial, or corporate account, please sign in your capacity. If corporate account, also include a copy of your corporate resolution.

All registered owners of the donor account must have their signature(s) guaranteed by an eligible guarantor institution: a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

*Please transfer the gifted shares from the above account as directed. This transfer is to occur immediately upon receipt of the completed Donor and Recipient forms.*

Important: If you are acting on behalf of the account owner, please indicate the capacity in which you are acting by checking the appropriate box below. If the correct box is not listed, please check "Other" and specify your capacity.

- |               |           |                       |                             |
|---------------|-----------|-----------------------|-----------------------------|
| Administrator | Custodian | Surviving Joint Owner | Agent under POA             |
| Executor      | Trustee   | Conservator/Guardian  | Other, please specify _____ |

Signature of Account Owner	Date
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Signature of Account Owner	Date
----------------------------	------

**Medallion Signature Guarantee**

**Medallion Signature Guarantee**

**Regular mail:**  
Pax World Funds  
PO Box 9824  
Providence, RI 02940-8024

**Overnight mail:**  
Pax World Funds  
4400 Computer Drive  
Westborough, MA 01581-1722  
Telephone: 1 (800) 372 7827

**Gift of Shares Form - Recipient**

**To the Recipient:** You are being given shares in a Pax World Fund as indicated on pages 1 and 2 of this form as a transfer in-kind. Please complete pages 3 and 4 and mail the entire Gift of Shares Form including the Donor and Recipient sections, with any additional forms as noted below. Please consult your tax advisor with any tax questions resulting from this transfer. Please call Investor Services at 1(800) 372-7827 if you have questions about the Fund or did not receive a copy of the latest prospectus.

**Please complete and then forward to Pax World Funds with the Donor form, see address on reverse side.**

If you are redeeming all shares immediately, a new **Mutual Fund Account Application** is not required.

**1. RECIPIENT INFORMATION**

Recipient's Name (Individual, Trust, Charitable Organization, etc.)

Recipient's Name (Joint Owner, Trustee, Custodian, etc.)

Street Address	City	State	Zip Code
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Mailing Address	City	State	Zip Code
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Day Telephone	Evening Telephone	Cell Telephone
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Email Address

**Note:** If the receiving account registration is significantly different from the name provided in Section 3 of the Donor's Form, the form must be returned to the Donor for approval.

**2. DISPOSITION OF NEW SHARES** - The gifted shares will be transferred in-kind to be deposited for holding in an account and/or redeemed as you direct below. Complete **Sections A and/or B**.

**A. Deposit Instructions** - The shares to be held in an account as indicated below. If you will hold any of these shares in an account with Pax World, please indicate below.

**Existing Account** - I (We) have an account with Pax World, registered as indicated above. Account #: \_\_\_\_\_

**New Account** - I (We) are opening a new account with Pax World Funds and have included a new **Mutual Fund Account Application** and any additional supporting documents requested.

**B. Redemption Instructions** - You may redeem all or a portion of the shares at the time they are gifted. Indicate below if this will be a full or partial account redemption.

**Full Redemption** - (You do not need to complete Part A of this section.)

**Partial Account Redemption**

Partial Account Redemption of \$ \_\_\_\_\_ (or) \_\_\_\_\_ % distributed proportionally across all funds.  
Distribute as indicated below:

Fund_____	Dollar Amount_____	or	Percentage_____
Fund_____	Dollar Amount_____	or	Percentage_____
Fund_____	Dollar Amount_____	or	Percentage_____

Total Amount\_\_\_\_\_ Total Percentage: 100%

**Note:** The percentage must equal 100%. If specific funds are chosen, but percentage is not provided, we will redeem equal portions from each fund listed.

**3. PAYMENT METHOD FOR REDEEMED SHARES** - If a payment method is not selected, the proceeds will be sent by check to the Recipient at the address provided in **Section 1**.

Mail a check payable to recipient as noted in **Section 1**.

Send by electronic transfer to the bank account listed below. If these banking instructions are not currently on file for a Pax World Funds account registered to the Recipient, the Recipient(s)'s signature(s) on this form must be guaranteed with a Medallion Signature Guarantee (see below). For new instructions, please attach a voided, unsigned check for the bank account to be used in conjunction with electronic (ACH) transactions. If you are unable to provide a check, see **Section 5**.

Bank Name	Name(s) on Bank Account	
Bank Routing/ABA Number	Bank Account Number	THIS IS A: Checking Savings Account

**Note:** Bank account registration must match recipient name. For individuals, one common name must appear on both the Recipient Information above and the bank account registration.

**4. TAX CERTIFICATION**

**Taxpayer Identification Number Certification**

**A. Under penalties of perjury, I certify that:**

- **I am a U.S. Person (including resident alien);**
- **The Social Security/Tax ID number provided in Section 1 is my correct SSN/TIN;**
- **I am not subject to backup withholding due to failure to report interest or dividend income; and**
- **I am not subject to FATCA reporting.**

Check the boxes below, if applicable:

**I am subject to backup withholding due to the failure to report interest or dividend income.**

**I am subject to FATCA reporting.**

**B. I am not a U.S. citizen or other U.S. person (including a U.S. resident alien).**

I am a citizen of \_\_\_\_\_ . **Attach the applicable IRS Form W-8 (BEN, BEN-E, ECI, EXP, IMY)**

**The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

**5. AUTHORIZATION AND SIGNATURE GUARANTEE**

*If you are providing banking information for the account and have not included a voided check, attach a copy of your bank statement, or a letter on bank stationery with your account registration, account number and bank routing number. The letter must be signed by a bank official.*

**Fair Market Value:** If the shares are being transferred as a gift and the new account will use the Average Cost method, by signing below the new owner(s) accept the shares at fair market value on the date of the gift if the shares are transferred at a loss.

**Acceptance:** By signing here, the owners of the account listed in Section 1 accept the gift of transferred shares. If the account is a trust, custodial, or corporate account, please sign in your capacity. If a corporate account, also include a copy of your corporate resolution. Certain Delivery Instructions (as noted above), require your signature(s) to be guaranteed by an eligible guarantor institution.

An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Gift of Shares Form - Recipient**

Important: If you are acting on behalf of the account owner, please indicate the capacity in which you are acting by checking the appropriate box below. If the correct box is not listed, please check "Other" and specify your capacity.

Administrator  
Executor

Custodian  
Trustee

Surviving Joint Owner  
Conservator/Guardian

Agent under POA  
Other, please specify \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Medallion Signature Guarantee (if required)

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