

## Custodial Account - Transfer of Ownership Form

Use this form to terminate the custodianship of a UTMA/UGMA, Minor IRA, or Minor Roth IRA account when the minor has reached legal age under appropriate state law. This form is not to be used for Coverdell Education Savings Accounts.

**Please include a copy of the former minor's driver's license or other government issued identification. A certified copy of a legal document or Medallion Signature Guarantee (MSG) stamp will be required if the former minor's name has changed or the birthdate does not match the birthdate on file.**

Note: When terminating custodianship of a Minor IRA or Minor Roth IRA, the new account registration may only be the same type of IRA in the former minor's name.

### 1. CURRENT ACCOUNT INFORMATION

Account Number	Custodian's Name		
Former Minor's Name		Birthdate (mm/dd/yyyy)(required)	
Mailing Address	City	State	Zip Code
Day Telephone	Evening Telephone	Cell Telephone	
Email Address			

Please check here if the former minor's name has changed from the above information. This form will require a Medallion Signature Guarantee (MSG) Stamp or a certified copy of the legal document noting the change.

### 2. INSTRUCTIONS - COMPLETE SECTIONS A AND B

#### A. UTMA/UGMA custodial account -

\_\_\_\_\_ has reached the age of majority for the for a UTMA/UGMA account in the applicable State and would like to transfer the account as directed below:

Transfer to an Individual account in the former minor's name only. - **Include a Mutual Fund Account Application - Investor and Institutional Class.** For other account types, select the appropriate option below.

Transfer to an alternate account type indicated below. Include a **Mutual Fund Account Application - Investor and Institutional Class.** For Trust or Corporate/Entity accounts, also include the supporting documents indicated on the application.

Joint Account - A Medallion Signature Guarantee (MSG) Stamp is required on this form.

Trust Account - A Medallion Signature Guarantee (MSG) Stamp is required on this form.

Corporate/Other Entity - A Medallion Signature Guarantee (MSG) Stamp is required on this form.

#### B. Minor IRA or Minor Roth account -

\_\_\_\_\_ has reached the age of majority for a Minor IRA or Roth IRA in the state it is registered and would like to transfer the account to a new account of the same type in their name only. Include a new **Traditional, Roth & SEP IRA Account Application - Investor Class.**

### 3. AUTHORIZATION

By signing below, I authorize Pax World Funds, BNY Mellon Investment Servicing Trust Company, or any successor transfer agent or sub-transfer agent (the “Transfer Agent”), or its affiliates, to act on the instructions reasonably believed to be genuine for the instructions described on this form.

Former Minor Signature

Date (mm/dd/yyyy)

Medallion Signature Guarantee : An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Medallion Signature Guarantee**