

Impax awards

Supporting information

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¹ Professional and Institutional investor” within the meaning of the EU Directive 2014/65/EU on markets in financial instruments (MiFID II) and the UK Financial Conduct Authority COBS 3.5; “Qualified investor “as defined by CISA, Switzerland; “Accredited investor” within the meaning of OSC Rule 45-501, Canada; “Wholesale investor” within the meaning of the Financial Markets Conduct Act 2013, New Zealand and Chapter 7 of the Corporations Act 2001, Australia.



At Impax, we are delighted to be recognised by a number of industry publications or groups. This document outlines details of the awards we have won, as well as been highly commended or shortlisted for. Please note, we sometimes pay a fee to be considered for these awards but where we do it does not influence the outcome.

Shortlisted: Best Sustainability Asset Manager - Corporate Adviser Awards 2025

What is the criteria?	The provider shortlists were determined by our Corporate Adviser Panel, a group of 40 consultants and advisers from leading EBCs and corporate IFAs across the pensions, group risk and healthcare sectors. The final stage of the judging process is the consideration of provider submissions by our Corporate Adviser Panel, where the winners will be finalised in a series of judging sessions.
What is the category?	The Corporate Adviser award for Best Sustainability Asset Manager recognises the provider that has demonstrated innovation, creativity and vision in the delivery of sustainable investment strategies in the 12 months to the closing date for submissions.
Who owns the award?	Definite Article Media
Date awarded	12 March 2025
Period based upon	12 months
Supporting Information	https://web-eur.cvent.com/event/99467214-d2a0-4c9a-ba38-468d39cd7b3c/websitePage:877b376e-c7de-4b3c-a488-5a07173c960e

Shortlisted: Sustainable Actor of the Year – Sustainable Investments

What is the criteria?	Stockholm (NordSIP) – Söderberg & Partners has published its annual Traffic Lights report singling out the best players in the Swedish financial industry in 2024
What is the category?	The Traffic Lights Report analyses the Swedish insurance and savings market to inform customers and consumers about how providers of financial services and products performed in 2024. Söderberg & Partners Wealth Management has been conducting sustainability analyses since 2015.
Who owns the award?	Stockholm (NordSIP) – Söderberg & Partners
Date awarded	3 March 2025
Period based upon	12 months
Supporting Information	Söderberg & Partners Green Lights Sustainable Leaders NordSip



Winner: Best ESG & Sustainability Boutique/Specialist - MainStreet Partners “ESG and Sustainability Champions 2025”.

What is the criteria?	There is no set calculation as such with regards to the individual winners, it is driven by our ESG Fund Research in aggregate not just the ratings themselves. In this case, it was a combination of things (e.g. consistency and breadth of offering) backed up by the Impax Pillar I score of 4.4. The methodology across all ESG Funds research at Mainstreet is here.
What is the category?	The Fund Research team has meticulously selected winners based on our ESG & Sustainability ratings, considering the renowned three Pillars: Asset Manager, Strategy, and Portfolio. This year's evaluation includes over 9,500 strategies managed by more than 460 Asset Managers.
Who owns the award?	MainStreet Partners
Date awarded	21 January 2025
Period based upon	12 months
Supporting Information	https://www.mspartners.org/methodologies/#SDG

Winner: 3D Squaremile – Rating

Impax Environmental Leaders (Ireland) Fund - Impax Asset Management – Silver impact logo

What is the criteria?	Silver - A Silver rated fund will have a high focus on investment in solutions to social and environmental challenges, as well as systematic reporting on environmental and/or social metrics across the portfolio. Typically, there will be a low level of exposure to ethical controversies due to informal or formal screening policies. The manager will typically demonstrate systematic engagement on social and environmental issues at an individual holdings level and participation in collaborative and educational initiatives
What is the category?	To achieve a Silver 3D rating within our 3D Impact fund classification, a fund must have focus on investments in activities classified as solutions under the 3D framework, demonstrating impact characteristics. It should also have little to no exposure to harmful impact activities and minimal involvement in controversial activities. Additionally, the fund group is likely to have scored relatively well on the Square Mile Lead Change questionnaires. We believe the Impax Environmental Leaders fund has met this threshold. The fund achieved a relatively high exposure to impactful and/or sustainable revenue activities, has been effective in limiting investment into controversial or harmful activities, and promoted strong responsible investment (RI) across the industry.
Who owns the award?	Squaremile
Date awarded	16 January 2025
Period based upon	12 months
Supporting Information	https://www.impaxam.com/assets/pdfs/square-mile/3D-Methodology-7p.pdf



Winner: 3D Squaremile – Rating

Impax Global Equity Opportunities Fund - Impax Asset Management – Silver focus logo

What is the criteria?	Silver - A Silver rated fund will have a high focus on investment in solutions to social and environmental challenges, as well as systematic reporting on environmental and/or social metrics across the portfolio. Typically, there will be a low level of exposure to ethical controversies due to informal or formal screening policies. The manager will typically demonstrate systematic engagement on social and environmental issues at an individual holdings level and participation in collaborative and educational initiatives
What is the category?	To achieve a Silver 3D rating within our 3D Focus fund classification, a fund will have reasonably high exposure to activities that are classified as solutions under the 3D framework, possessing sustainable characteristics, minimal exposure to harmful impact activities, as well as little to no exposure to controversial activities. Additionally, the fund group is likely to have scored relatively well on the Square Mile Lead Change questionnaires. We believe the Impax Global Equity Opportunities fund has met our threshold. According to the 3D assessment framework, the fund has achieved significant exposure to impactful and/or sustainable revenue activities, has been effective in minimising investment in controversial or harmful activities, and has shown a willingness to undertake advocacy initiatives in promotion of Responsible Investment (RI) in the industry.
Who owns the award?	Squaremile
Date awarded	16 January 2025
Period based upon	12 months
Supporting Information	https://www.impaxam.com/assets/pdfs/square-mile/3D-Methodology-7p.pdf

Winner: 3D Squaremile – Rating

Impax Global Social Leaders Fund - Impax Asset Management - Silver impact logo

What is the criteria?	Silver - A Silver rated fund will have a high focus on investment in solutions to social and environmental challenges, as well as systematic reporting on environmental and/or social metrics across the portfolio. Typically, there will be a low level of exposure to ethical controversies due to informal or formal screening policies. The manager will typically demonstrate systematic engagement on social and environmental issues at an individual holdings level and participation in collaborative and educational initiatives
What is the category?	To achieve a Silver 3D rating within our 3D Impact fund classification, a fund must have focus on investments in activities classified as solutions under the 3D framework, demonstrating impact and/or sustainable characteristics. It should also have little to no exposure to harmful impact activities and minimal involvement in controversial activities. Additionally, the fund group is likely to have scored relatively well on the Square Mile Lead Change questionnaires. We believe the Impax Global Social Leaders fund has met this threshold. The fund achieved a relatively high exposure to impactful and/or sustainable revenue activities, has been effective in limiting investment into controversial or harmful activities, and promoted reasonably strong responsible investment (RI) across the industry.
Who owns the award?	Squaremile
Date awarded	16 January 2025
Period based upon	12 months
Supporting Information	https://www.impaxam.com/assets/pdfs/square-mile/3D-Methodology-7p.pdf



Finalist: Sarah Grenon - Financial Narrative 50 Awards

What is the criteria?	Behind every company's success story is a leader and a team of dedicated people working hard to get the job done. The 2024 Financial Narrative 50 Awards honors financial marketing and communications professionals who have achieved exceptional marketing effectiveness and moved our industry forward. We are looking to celebrate those who have left an indelible mark on the industry, spearheaded innovative marcomms campaigns or demonstrated thought leadership.
What is the category?	We are looking to celebrate those who have left an indelible mark on the industry, spearheaded innovative marcomms campaigns or demonstrated thought leadership.
Who owns the award?	Financial Narrative
Date awarded	13 January 2025
Period based upon	12 months
Supporting Information	FINANCIAL NARRATIVE 50 Financial Narrative

Winner: Financial Promoter of the Year – Natasha Colwell-Lyons, Financial Promoter Awards 2024

What is the criteria?	The Financial Promoter Awards celebrate the efforts of marketing and communications teams across capital markets, personal finance and insurance, highlighting the contribution they make to driving revenues in one of the UK's most important sectors.
What is the category?	Financial Promoter of the Year – Natasha Colwell-Lyons
Who owns the award?	Rhotic Media
Date awarded	7 November 2024
Period based upon	Unsure
Supporting Information	https://financialpromoter.co.uk/fp-awards-shortlists/

Winner: Asset TV 2024 Viewer and Content Awards

What is the criteria?	This award was aimed at celebrating those clients who have demonstrated ingenuity and creativity in their projects with us: from innovative use of our studio facilities and capabilities, maximised ROI in their use of the content across multiple channels or through engaging our viewers with a rich blend of content - supplied, events and bespoke filmed content.
What is the category?	The Innovation Award
Who owns the award?	Asset TV
Date awarded	October 2024
Period based upon	unsure
Supporting Information	?



Winner: Most Effective Use of Social Media- LinkedIn Marketing Effectiveness Awards 2024

What is the criteria?	Recognising Outstanding Marketing in Financial Services - The focus in these awards reflects the evolving nature of financial services itself, as it changes to meet new or continuing customer needs in the most efficient and effective manner.
What is the category?	<ul style="list-style-type: none">- Agency of the Year - Impax & Embrace- Marketer of the Year - Natasha Colwell-Lyons- Most Effective Content Marketing Strategy – The transition will not be televised- Most Effective Global Campaign- 25 years of Impax- Most Effective Use of Social Media- LinkedIn- Young Marketer of the Year - Jonathan Prested
Who owns the award?	Incisive Media
Date awarded	16 September (shortlist) & 21 November 2024 (winners announced)
Period based upon	12 months
Supporting Information	https://fsfevents.evessiocloud.com/2024awardsformarketingeffectiveness/en/page/home

Winner: Marketer of the Year - Natasha Colwell-Lyons Marketing Effectiveness Awards 2024

What is the criteria?	Recognising Outstanding Marketing in Financial Services - The focus in these awards reflects the evolving nature of financial services itself, as it changes to meet new or continuing customer needs in the most efficient and effective manner.
What is the category?	<ul style="list-style-type: none">- Agency of the Year - Impax & Embrace- Marketer of the Year - Natasha Colwell-Lyons- Most Effective Content Marketing Strategy – The transition will not be televised- Most Effective Global Campaign- 25 years of Impax- Most Effective Use of Social Media- LinkedIn- Young Marketer of the Year - Jonathan Prested
Who owns the award?	Incisive Media
Date awarded	16 September (shortlist) & 21 November 2024 (winners announced)
Period based upon	12 months
Supporting Information	https://fsfevents.evessiocloud.com/2024awardsformarketingeffectiveness/en/page/home



Winner: Influential Women in Institutional Investing – Rising star – Mackenzie Clark

What is the criteria?	Pensions & Investments collaborated with an advisory board of leading investors and key industry executives to determine questions and shape areas on which to focus for the 2024 Influential Women in Institutional Investing program.
What is the category?	Rising star – Mackenzie Clark
Who owns the award?	Pension and Investments
Date awarded	September 2024
Period based upon	Entries were grouped based on years of industry experience for equitable judging: seven to 12 years of experience; 13 to 20 years and 20-plus years of experience.
Supporting Information	https://www.pionline.com/influential-women-institutional-investing/how-influential-women-honorees-were-selected

Shortlisted: Best ESG Provider

What is the criteria?	The Money Marketing Awards recognise the industry's best advisers and providers. The accolades are not awarded lightly. Several shortlisting rounds occur before the judge's comb through each entry and decide on a winner.
What is the category?	Best ESG Provider – Impax Asset Management
Who owns the award?	Money Marketing
Date awarded	12 th September 2024
Period based upon	12 months
Supporting Information	Money Marketing Awards 2024 - Home Page

Winner: 2024 Financial News Most Influential in European Finance (Ian Simm)

What is the criteria?	Candidates are judged on their responsibilities within their firm, including size of their team and internal committee posts, as well as their wider influence through regulatory bodies, industry associations, charity work and diversity organisations.
What is the category?	Meet the Most Influential in European Finance 2024
Who owns the award?	Financial News
Date awarded	September 2024
Period based upon	Unsure
Supporting Information	https://www.fnlondon.com/articles/meet-the-most-influential-in-european-finance-2024-71e13549?mod=homepage

Shortlisted: 6 categories in Marketing Effectiveness Awards 2024

What is the criteria?	Recognising Outstanding Marketing in Financial Services - The focus in these awards reflects the evolving nature of financial services itself, as it changes to meet new or continuing customer needs in the most efficient and effective manner.
What is the category?	<ul style="list-style-type: none"> - Agency of the Year - Impax & Embrace - Marketer of the Year - Natasha Colwell-Lyons - Most Effective Content Marketing Strategy – The transition will not be televised - Most Effective Global Campaign- 25 years of Impax - Most Effective Use of Social Media- LinkedIn - Young Marketer of the Year - Jonathan Prested
Who owns the award?	Incisive Media
Date awarded	16 September (shortlist) & 21 November 2024 (winners announced)
Period based upon	12 months
Supporting Information	https://fsfevents.evessiocloud.com/2024awardsformarketingeffectiveness/en/page/home

Shortlisted: 8 nominations in Financial Promoter Awards 2024

What is the criteria?	The Financial Promoter Awards celebrate the efforts of marketing and communications teams across capital markets, personal finance and insurance, highlighting the contribution they make to driving revenues in one of the UK's most important sectors.
What is the category?	<ul style="list-style-type: none"> Investment Marketer of the Year – Natasha Colwell-Lyons Marketing Campaign of the Year - Impax 25 years Design Agency of the Year – Embrace Content Campaign of the Year - The transition will not be televised Digital Campaign of the Year – Brand Hub Influencer Campaign of the Year – Social Media Young Financial Promoter of the Year – Jonathan Prested Financial Promoter of the Year – Natasha Colwell-Lyons
Who owns the award?	Rhotic Media
Date awarded	September 2024 (shortlist) & 7 th November 2024 (winners)
Period based upon	Unsure
Supporting Information	https://financialpromoter.co.uk/fp-awards-shortlists/



Winner: Best Report & Accounts – Specialist (IEM)

What is the criteria?	The AIC - the organisation representing investment trusts - aims to find the best investment companies and how they communicate with their shareholders.
What is the category?	Association of Investment Companies' ("AIC") Shareholder Engagement and Shareholder Communication Awards 2024 - Best Report & Accounts – Specialist (IEM)
Who owns the award?	AIC
Date awarded	September 2024
Period based upon	unsure
Supporting Information	https://www.theaic.co.uk/shareholder-communication-awards-2024

Winner: Ian Simm - 2024 Financial News Most Influential in European Finance

What is the criteria?	Financial News has published its inaugural pick of the Most Influential in European Finance.
What is the category?	A The list includes senior leaders from investment banking, fund management, hedge funds, law, professional services, sustainable finance, trading and market infrastructure and financial regulation.
Who owns the award?	Wrights Media
Date awarded	September 2024
Period based upon	unsure
Supporting Information	Meet the Most Influential in European Finance 2024

Shortlisted: Funds Europe - European Fund Launch of the Year

What is the criteria?	Judges score entries for excellence and are looking to be impressed in one or more of the following criteria: performance, growth, innovation, development, client service, European presence and commitment to the industry.
What is the category?	European Fund Launch of the Year
Who owns the award?	Funds Europe
Date awarded	July 2024 (shortlist) and 6 th November (winners)
Period based upon	1 st June 2023 – 31 st March 2024
Supporting Information	https://web-eur.cvent.com/event/bff3b540-769d-49b6-9185-fa66bc107fcc/websitePage:160e962b-0a9f-47f7-91a4-05777fa4847a



Shortlisted: Impact Manager of the Year

What is the criteria?	An award from LAPF Investments is recognised throughout the industry as a mark of excellence in the field of pension fund investment.
What is the category?	Impact Manager of the Year
Who owns the award?	Publication/Group: DG Publishing Name: 10 th LAPF Investments Awards
Date awarded	July 2024
Period based upon	Unknown
Supporting Information	2024 Awards Shortlist LAPF Investments Awards 2022 - lapfinvestmentsawards.com

Shortlisted: Best Report & Accounts Specialist

What is the criteria?	The search is on to find the investment companies with the best shareholder communications. The awards celebrate excellence across the industry and recognise the achievements of boards, company secretaries, marketing and public relations teams on their communication strategies to shareholders.
What is the category?	Best Report & Accounts Specialist
Who owns the award?	Publication/Group: The Association of Investment Companies (AIC) Name: AIC Shareholder Communication Awards 2024
Date awarded	July 2024
Period based upon	Unknown
Supporting Information	Shareholder Communication Awards 2024 The AIC

Shortlisted: The Most Influential Leaders in Asset Management, 2024 (Neville Vyas)

What is the criteria?	As the landscape of industry continues to evolve rapidly, it's crucial to recognize the individuals who are driving innovation, shaping strategies, and making significant contributions to this field. Your leadership and expertise have been widely recognized, and your perspectives would greatly enrich our publication and provide valuable insights to our readership
What is the category?	The Most Influential Leaders in Asset Management, 2024
Who owns the award?	Publication/Group: Insights Success Business Magazine
Date awarded	July 2024
Period based upon	Unknown
Supporting Information	Best Business Magazine Business World Magazine (insightssuccess.com)

Shortlisted: Marketing Campaign of the Year

What is the criteria?	<p>The Corporate Finance Awards celebrate the outstanding financial performance of the UK's middle and larger sized companies and recognise the supplier organisations that support corporate finance including private equity and accountancy firms, banks, asset managers, tech providers and other key providers.</p> <p>From day-to-day financing, accounting, taxation, structuring, investment decisions, cash management and treasury functions, UK corporates must navigate their way through numerous financial areas to excel in the current economic climate. The Corporate Finance Awards recognise the efforts and success in these fields.</p>
What is the category?	Marketing Campaign of the Year
Who owns the award?	<p>Publication/Group: Corporate Finance News</p> <p>Name: Corporate Finance Awards 2024</p>
Date awarded	July 2024
Period based upon	Unknown
Supporting Information	Shortlist 2024 // Corporate Finance Awards (corporatefinancenews.net)

Winner: Rising Star of the Year: Charvee Wadhwa

What is the criteria?	The awards are open to both global businesses and marketing agencies working across the B2B financial services sector, with categories ranging from marketing and proposition development, thought leadership and content marketing, through to digital marketing and the individuals and teams delivering them.
What is the category?	Rising Star of the year
Who owns the award?	<p>Publication/Group: Investment Week</p> <p>Name: Investment Marketing and Innovation Awards 2024</p>
Date awarded	July 2024
Period based upon	May 2023 – May 2024
Supporting Information	Investment Marketing and Innovation Awards 2024 - Home Page (investmentmarketingawards.co.uk)

Shortlisted: Best Sustainable Fund Launch

What is the criteria?	For the fund categories, they will consider factors including: strong performance record; strength of the team; meeting the fund's sustainable objectives; excellence in sustainable investing within the investment process; strong engagement record; effective client communication; wider fund impact; and key developments on the strategy in the past year..
What is the category?	Sustainable Investment Fund Launch Categories
Who owns the award?	<p>Investment Week/Incisive Media</p> <p>Name: Sustainable Investment Awards 2024</p>
Date awarded	July 2024
Period based upon	Unknown
Supporting Information	Sustainable Investment Awards 2024 - Home Page (investmentweek.co.uk)



Shortlisted: European Fund Launch of the Year (Impax Global Social Leaders)

What is the criteria?	We will reward a fund launch from the eighteen months to 31 March 2024 that brought to market a brand-new product. The entry should state the rationale for the product, list markets where the product was launched, state how the product is innovative and/or different and/or timely, include marketing campaign objectives and outcomes, and give figures for assets gathered for this product in the eighteen-month period. As mentioned above, focussing heavily on one key evidence of success is more likely to capture judges' attention.
What is the category?	European Fund Launch of the Year
Who owns the award?	Publication/Group: Funds Europe Name: Funds Europe Awards 2024
Date awarded	July 2024
Period based upon	1 st June 2023 – 31 st March 2024
Supporting Information	Home - Funds Europe Awards 2024 (cvent.com)

Shortlisted: Asset Management Company of the year in Europe 2024

What is the criteria?	Based on the nominations received from the Financial Services Review magazine's subscribers, the publications evaluation panel shortlisted Impax Asset Management to be featured as the 'Asset Management Company of the Year in Europe 2024'.
What is the category?	Asset Management Company of the year in Europe 2024
Who owns the award?	Publication/Group: Financial Services Review
Date awarded	June 2024
Period based upon	Unknown
Supporting Information	Financial Services Review: Business and Technology Magazine

Shortlisted: Best Company for Shareholder Communication, Ethical/Sustainable – Active (Impax Environmental Markets), Renewables - Active (Impax Environmental Markets),

What is the criteria?	The AJ Bell Investment Awards is your chance to vote for your favourite active and passive funds and stocks in 39 award categories. The aim of the awards is to recognise and reward the funds, trust and listed companies who are, in the eyes of the AJ Bell investors, the best. The first stage in the awards process is to narrow down the choice from the many thousands of investments above available. To do this, we have assembled an expert panel of investment professionals who have selected their preferred nominations in each of the categories. The expert panel's role is to narrow down the field to 6 investments in each category. From there, our platform audience will choose the winner within each category.
What is the category?	Best Company for Shareholder Communication Ethical/Sustainable – Active (Impax Environmental Markets) Renewables – Active
Who owns the award?	Publication/Group: AJ Bell Name: AJ Bell Investment Awards 2024
Date awarded	June 2024
Period based upon	Unknown
Supporting Information	Welcome to the AJ Bell Investment Awards 2024 AJBell Investment Awards

London Stock Exchange Green Economy Mark Report 2024

What is the criteria?	London Stock Exchange's annual Green Economy Report shines a spotlight on London-listed companies and funds contributing to the green economy.
What is the category?	The Green Economy Mark
Who owns the award?	Publication/Group: London Stock Exchange
Date awarded	June 2024
Period based upon	Unknown
Supporting Information	ftse-russell-green-revenues-classification-system methodology.pdf

Winner: King's Award for Enterprise, Sustainable Development

What is the criteria?	Impax has been recognised for its successes related to investing in the transition to a more sustainable economy that it has achieved since it last won the Queen's Award for Enterprise in Sustainable Development in 2020. This has included: growing its range of investment strategies across multiple asset classes; developing its investment frameworks and taxonomies; establishing offices in Dublin, New York and Tokyo; impact reporting, corporate engagement and policy advocacy; its charitable support; and its focus on diversity and gender equality, both at the firm and across its investment portfolios.
What is the category?	King's Awards for Enterprise
Who owns the award?	Publication/Group: Gov.uk
Date awarded	May 2024
Period based upon	Unknown
Supporting Information	The King's Awards for Enterprise: About the awards - GOV.UK (www.gov.uk)

Shortlisted: Best Blog; Best use of data/research in a Marketing Campaign; Best Use of Video; Campaign Innovation; Content Marketing Campaign of the Year; Proposition Development; Sales and Marketing Alignment Award

What is the criteria?	The awards are open to both global businesses and marketing agencies working across the B2B financial services sector, with categories ranging from marketing and proposition development, thought leadership and content marketing, through to digital marketing and the individuals and teams delivering them.
What is the category?	Best Blog; Best use of data/research in a Marketing Campaign; Best Use of Video; Campaign Innovation; Content Marketing Campaign of the Year; Proposition Development; Sales and Marketing Alignment Award
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2024
Date awarded	May 2024
Period based upon	May 2023 – May 2024
Supporting Information	Investment Marketing and Innovation Awards 2024 - Home Page (investmentmarketingawards.co.uk)



Shortlisted: Marketing Leader of the year – Gemma Reynolds; Marketer of the year – Krista Goodman; Rising Star of the year – Charvee Wadhwa

What is the criteria?	The awards are open to both global businesses and marketing agencies working across the B2B financial services sector, with categories ranging from marketing and proposition development, thought leadership and content marketing, through to digital marketing and the individuals and teams delivering them.
What is the category?	Marketing Leader of the year; Marketer of the year; Rising Star of the year
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2024
Date awarded	May 2024
Period based upon	May 2023 – May 2024
Supporting Information	Investment Marketing and Innovation Awards 2024 - Home Page (investmentmarketingawards.co.uk)

Shortlisted: Best Innovation on a Small Budget; Best Digital Transformation project; Best Use of AI or Automation

What is the criteria?	The Financial Services Forum's 2024 Awards for Innovation and Transformation are a celebration of the businesses, teams and individuals who have sparked innovation, change or transformation within their market, business or category. We acknowledge that innovation and transformation can be achieved by businesses of all sizes, through collaborative efforts across various departments and that even small changes can yield significant results. The awards' primary objective, aligned with the Forum's mission, is to celebrate and acknowledge the most impactful instances of marketing innovation, transformation and change within the expansive financial services industry.
What is the category?	Best Innovation on a Small Budget; Best Digital Transformation project; Best Use of AI or Automation
Who owns the award?	Publication/Group: Financial Services Forum Name: Awards for Innovation and Transformation
Date awarded	May 2024
Period based upon	May 2023 – May 2024
Supporting Information	2024 Awards for Innovation and Transformation - Shortlist (evessiocloud.com)

Shortlisted: ESG Investor of the year

What is the criteria?	This award category was open to any bank, fund, asset manager or pension firm that are successfully taking proactive steps to enhance its environmental, social, and governance (ESG) products and credentials. The judges were looking for investors that are both mobilising support for greener industries and reducing exposure to unsustainable and polluting business practices. The best entries provided evidence of how the firm has strengthened its ESG policies and investment strategy. They also provided a clear explanation of the company's ESG strategy and activities, evidence of how effective the strategy has proven, and an insight into how the investor has helped to drive greener business practices and improved climate risk management across its portfolio.
What is the category?	ESG Investor of the year
Who owns the award?	UK Green Business Awards 2024
Date awarded	May 2024
Period based upon	Unknown
Supporting Information	UK Green Business Awards 2024 Finalists announced BusinessGreen News

Shortlisted: Best ESG provider

What is the criteria?	This category recognises a provider which has gone above and beyond with its commitment to ESG investing accessible to UK financial advice firms and their clients over the last 12 months. It not only considers product creation but also research and a wider educational message to advice firms and end clients. Companies were required to show how they implement the principles of each aspect of ESG – environmental, social and governance – in their own firm. Judges looked for a strong ethos from firms, which go above buzzwords and a desire to be more sustainable.
What is the category?	Best ESG provider
Who owns the award?	Money Marketing Awards 2024
Date awarded	May 2024
Period based upon	May 2023 – May 2024
Supporting Information	Money Marketing Awards 2024 - Home Page

Winner: Best Asset Manager (United Kingdom) – Sustainable Investing

What is the criteria?	These awards are determined by a combination of risk-adjusted medium- to long-term performance track records and Morningstar's forward-looking rating for funds, the Morningstar Medalist Rating™ including its Parent pillar component. The Medalist Rating is set on a five-tier scale running from Gold, Silver, Bronze, Neutral and Negative at the share class level. The Morningstar Asset Manager – Sustainable Investing Awards are determined by a combination of Morningstar's qualitative and quantitative sustainability research capabilities. The full methodology for the awards is available here.
What is the category?	Best Asset Manager (United Kingdom) – Sustainable Investing
Who owns the award?	Publication/Group: Morningstar Name: Morningstar Awards for Investing Excellence
Date awarded	7 March 2024
Period based upon	Unknown
Supporting Information	Revealed: All The Winners From Last Night's... Morningstar

Winner: Best Asset Manager (Luxembourg)– Sustainable Investing

What is the criteria?	These awards are determined by a combination of risk-adjusted medium- to long-term performance track records and Morningstar's forward-looking rating for funds, the Morningstar Medalist Rating™ including its Parent pillar component. The Medalist Rating is set on a five-tier scale running from Gold, Silver, Bronze, Neutral and Negative at the share class level. The Morningstar Asset Manager – Sustainable Investing Awards are determined by a combination of Morningstar's qualitative and quantitative sustainability research capabilities. The full methodology for the awards is available here.
What is the category?	Best Asset Manager (Luxembourg) – Sustainable Investing
Who owns the award?	Publication/Group: Morningstar Name: Morningstar Awards for Investing Excellence
Date awarded	14 March 2024
Period based upon	Unknown
Supporting Information	Morningstar Announces Winners for 2024 Morningstar Awards for Investing Excellence Luxembourg Morningstar, Inc.

Winner: Best Asset Manager (Netherlands) – Sustainable Investing

What is the criteria?	These awards are determined by a combination of risk-adjusted medium- to long-term performance track records and Morningstar's forward-looking rating for funds, the Morningstar Medalist Rating™ including its Parent pillar component. The Medalist Rating is set on a five-tier scale running from Gold, Silver, Bronze, Neutral and Negative at the share class level. The Morningstar Asset Manager – Sustainable Investing Awards are determined by a combination of Morningstar's qualitative and quantitative sustainability research capabilities. The full methodology for the awards is available here .
What is the category?	Best Asset Manager (Netherlands) – Sustainable Investing
Who owns the award?	Publication/Group: Morningstar Name: Morningstar Awards for Investing Excellence
Date awarded	21 March 2024
Period based upon	Unknown
Supporting Information	Morningstar Announces Winners for 2024 Morningstar Awards for Investing Excellence Netherlands Morningstar, Inc.

Winner: International Investment Fund – Ireland

What is the criteria?	The key goal is to reward those firms and consultants who have demonstrated tangible successes across a number of industries and sectors. In order to be selected for a Finance Monthly Award the winners completed and were selected in the following processes: <ul style="list-style-type: none"> - Received nominations through a voting platform set up. - Made the shortlist – which is based on minimum number of votes being received - Were selected by the judging panel and put forward as an outright winner for chosen category or area of expertise
What is the category?	International Investment Funds – Ireland
Who owns the award?	Finance Monthly Global Awards 2024
Date awarded	January 2024
Period based upon	Unknown
Supporting Information	Finance Monthly (finance-monthly.com)

2023 Diversity, Equity, and Inclusion Rising Star– Sadie Saxton

What is the criteria?	The Awards recognize individuals who are advocating for or otherwise contributing to member organizations' diversity, equity and inclusiveness.
What is the category?	Diversity, Equity, and Inclusion Rising star
Who owns the award?	Defined Contribution Institutional Investment Association (DCIIA)
Date awarded	January 2024
Period based upon	Unknown
Supporting Information	Diversity, Equity & Inclusion Awards: 2023 Rising Stars and Leaders - Defined Contribution Institutional Investment Association (dciaa.org)

Winner: Annual List of the most interesting, innovative, and influential financial marcomms professionals – Neville Vyas

What is the criteria?	Financial Narrative is one the world's largest organisations of financial marketing & communications leaders. The Financial Narrative 50 Awards celebrates excellence in marketing and communications within the financial services industry. In January 2024, Financial Narrative unveiled the annual Financial Narrative 50: This is a list of 50 of the most interesting, innovative and influential leaders in financial marketing and comms today, recognising individuals with outstanding marketing and comms achievements.
What is the category?	Financial Narrative 50: An annual list of the most interesting, innovative, and influential financial marketing and communications professionals
Who owns the award?	Financial Narrative
Date awarded	January 2024
Period based upon	Unknown
Supporting Information	FINANCIAL NARRATIVE 50

Winner: Sustainable Investment – UK

What is the criteria?	<p>The key goal is to reward those firms and consultants who have demonstrated tangible successes across a number of industries and sectors. In order to be selected for a Finance Monthly Award the winners completed and were selected in the following processes:</p> <ul style="list-style-type: none"> - Received nominations through a voting platform set up. - Made the shortlist – which is based on minimum number of votes being received - Were selected by the judging panel and put forward as an outright winner for chosen category or area of expertise.
What is the category?	Sustainable Investment - UK
Who owns the award?	Financial Monthly Global Awards 2023
Date awarded	January 2024
Period based upon	Unknown
Supporting Information	Finance Monthly (finance-monthly.com)



Finalists: Sustainable Active Equity Manager – Impax Global Opportunities Strategy; Impax Leaders Strategy

What is the criteria?	The shortlist has been drawn up in association with Aon, one of the leading investment consultants in the market, highlighting those asset managers who have demonstrated excellent performance. The shortlist was broadly based on performance over the 12 months to 30 th June 2023 but also recognised the need for consistent performance – so entrants were only shortlisted if they demonstrated both excellent performance over the 12 months to 30 th June 2023 and strong performance over a three-year time period. Innovation, client service, sustainability, diversity and inclusion were the other defining factors for this award.
What is the category?	Sustainable Active Equity Manager
Who owns the award?	Professional Pensions Investment Awards 2023
Date awarded	November 2023
Period based upon	June 2022- June 2023 & performance over the last 3 years
Supporting Information	PP Investment Awards 2023: The winners (professionalphillips.com)

Winner: Boutique Manager of the Year

What is the criteria?	For the award criteria, the publication shortlisted entries based on the following areas: evidence of strong inflows and asset growth, innovation, robust customer service, recruitment and business expansion. Any fund management firm that runs 30 or fewer funds as a boutique was considered.
What is the category?	Boutique Manager of the Year
Who owns the award?	Publication/Group: Financial News Name: Institutional Fund Management awards
Date awarded	November 2023
Period based upon	December 2021- December 2022
Supporting Information	Robeco, Schroders scoop prizes at FN's fund management awards (fnlondon.com)

Winner: Gender Equity Investment Awards

What is the criteria?	For the award criteria, the methodology looked at all funds sold in the US that reference gender in the fund name or, in the case of an ESG/sustainable equivalent fund, have a gender-screening component. Only funds with a minimum \$10 million in AUM were considered. In addition, the funds with the highest weighted average “gender power” – expressed as the percentage of shares held by female executives in relation to the shares held by executives – were shortlisted.
What is the category?	Shortlisting based on official SEC-reported holdings for international gender focus and the measurable performance of investing in firms with high gender equity among top executives.
Who owns the award?	Publication/Group: Responsible Investor Name: Gender Equity Investment Awards
Date awarded	November 2023
Period based upon	Unknown
Supporting Information	Responsible Investor announces Gender Equity Investment awards shortlist (responsible-investor.com)

Winner: Responsible Investor of the Year

What is the criteria?	For this year’s submissions, judges were looking for asset management /ownership working to develop new systems and processes to manage to a better state of ESG. They concentrated on how organisations were gathering comparable, accurate data in the sectors and players that matter most with a focus on identifying current performance baselines and then managing and engaging to encourage
What is the category?	Responsible Investor of the Year
Who owns the award?	Publication/Group: Reuters Events Name: Responsible Business Awards 2023
Date awarded	November 2023
Period based upon	Unknown
Supporting Information	Responsible Business Awards 2023 (reutersevents.com)

Shortlisted: Best Storytelling in any Medium; Best Digital Content

What is the criteria?	Unknown
What is the category?	Best Storytelling in any Medium Best Digital Content
Who owns the award?	Publication/Group: Citywire Name: Engage and Goldmine Awards 2023
Date awarded	October 2023
Period based upon	Unknown
Supporting Information	Unknown

Shortlisted: European Thought Leadership of the Year

What is the criteria?	The Funds Europe Awards recognise personal and company achievements for contributions made within the European funds community and credit publicly those who have advanced the cross-border agenda in the industry
What is the category?	European Thought Leadership of the Year
Who owns the award?	Funds Europe
Date awarded	October 2023
Period based upon	Unknown
Supporting Information	Funds Europe Awards 2023 winners (funds-europe.com)

Shortlisted: 'Unsung hero' - Abbie Erskine -Thomas

What is the criteria?	Aimed at women in support roles in the UK investment industry who have gone above and beyond to contribute to their business, drive change, support a team or encourage diversity & inclusion in the workplace. In particular, the judges will consider their impact at company, team or industry level over the past 12 months.
What is the category?	Unsung Hero
Who owns the award?	Publication/Group: Investment Week Name: Women in Investment Awards 2023
Date awarded	October 2023
Period based upon	October 2022 – October 2023
Supporting Information	Women in Investment Awards 2023 - 2023 Finalists (investmentweek.co.uk)

Winner: Portfolio Manager of the Year - Christine Cappabianca

What is the criteria?	This award recognizes the most outstanding female portfolio manager, based in the US, who has displayed excellence over the past 12 months. Eligible nominees are portfolio/discretionary managers (in the advisor channel or the private discretionary division), who are working directly with investor clients / families.
What is the category?	Portfolio Manager of the Year
Who owns the award?	Investment News Women to Watch Summit & Awards
Date awarded	October 2023
Period based upon	October 2022 – October 2023
Supporting Information	2023 Winners Women To Watch Summit (investmentnews.com)



Winner: Influential Women in Institutional Investing – Kelly Baldoni

What is the criteria?	To be eligible to be nominated for this honor, women must be currently employed in institutional investing, have a minimum of 7 years of experience in the industry and demonstrate a measurable effect and results within one’s own workplace and within the industry. Nominees should ideally demonstrate a commitment to attract, retain, support and promote women into the industry. Women across the institutional investment industry are eligible (allocators, asset managers, consultants, service providers, etc.) across various roles. Nominees will be judged by the 2023 Advisory Board and the Pensions & Investments leadership team.
What is the category?	Influential Women in Institutional Investing
Who owns the award?	Pensions & Investments
Date awarded	September 2023
Period based upon	Unknown
Supporting Information	pionline.com/Reprint-PI23047Impax

Featured: 50 most Influential in Sustainable Finance 2023 – Ian Simm

What is the criteria?	Despite the raging debate, financial institutions continue to make concerted efforts to enhance their environmental credentials, improve governance and focus on their own culture and diversity. The 50 people on the Financial News list are at the forefront pf this drive.
What is the category?	50 Most Influential in Sustainable Finance
Who owns the award?	Financial News
Date awarded	September 2023
Period based upon	Unknown
Supporting Information	Fifty Most Influential in Sustainable Finance 2023 Financial News (fnlondon.com)

Shortlisted: Most Effective Sustainable Product Campaign

What is the criteria?	This category focuses purely on the strategy, tactics and execution of marketing sustainable financial services products or services; for example, a fund investing in renewable energy, pensions or a ‘green’ mortgage.
What is the category?	Most Effective Sustainable Product Campaign
Who owns the award?	The Financial Services Forum
Date awarded	September 2023
Period based upon	Unknown
Supporting Information	2023 Awards for Marketing Effectiveness - 2023 Shortlist (evessiocloud.com)

Shortlisted: Most Effective Global Campaign- Sustainable Infrastructure

What is the criteria?	This award recognises the additional challenges in market conditions or customer expectations that are involved in running a single campaign or initiative across several countries.
What is the category?	Most Effective Global Campaign
Who owns the award?	The Financial Services Forum
Date awarded	September 2023
Period based upon	Unknown
Supporting Information	2023 Awards for Marketing Effectiveness - 2023 Shortlist (evessiocloud.com)

Shortlisted: Young Marketer of the Year- Harriet Pepper

What is the criteria?	This category recognises a rising star within the financial services industry, who is showing signs of being an exceptional and innovative marketer.
What is the category?	Young Marketer of the Year – Harriet Pepper
Who owns the award?	The Financial Services Forum
Date awarded	September 2023
Period based upon	Unknown
Supporting Information	2023 Awards for Marketing Effectiveness - 2023 Shortlist (evessiocloud.com)

Highly Commended: Specialist Category - Impax Environmental Markets plc

What is the criteria?	The awards highlight outstanding managers in this important part of the market, who have delivered consistently strong performance for investors across a variety of sectors and the judges believe can continue to perform well in the future. The shortlists for the awards are constructed using scores provided by the AIC, using Morningstar data.
What is the category?	Specialist- Impax Environmental Markets plc
Who owns the award?	Publication/Group: Investment Week Name: Investment Company of the Year Awards 2023
Date awarded	August 2023
Period based upon	Unknown
Supporting Information	Investment Company of the Year Awards 2023 - 2023 Shortlist (investmentweek.co.uk)

Shortlisted: ESG Leader

What is the criteria?	While one of the facets of the drive towards sustainability and positive societal impact is deploying renewable energy capacity, the criteria also looks at how such projects impact the local community, the social impact regarding workforce diversity and inclusion, secondary emissions savings and resource conservation. Main criteria: <ul style="list-style-type: none"> • CO₂ emissions saved • Community Development & Impact • Diversity & Inclusion • Resource Conservation
What is the category?	ESG Leader – European Energy Transition Awards
Who owns the award?	Inspiratia Awards 2023
Date awarded	July 2023
Period based upon	Unknown
Supporting Information	Europe Energy Transition Awards (inspiratiaawards.com)

Shortlisted: Ethical/Sustainable Active – Impax Environmental Markets plc

What is the criteria?	The aim of the awards is to recognise and reward the funds, trust and listed companies who are, in the eyes of investors that use AJ Bell platforms, simply the best.
What is the category?	Ethical/Sustainable Active- Impax Environmental Markets plc
Who owns the award?	AJ Bell Investment Awards
Date awarded	July 2023
Period based upon	Unknown
Supporting Information	Investment Awards (ajbell.co.uk)

Winner: Investment Manager of the Year

What is the criteria?	The European Pensions Awards were launched to give recognition to and honour the investment firms, consultancies and pension providers across Europe that have set the professional standards in order to best serve European Pension funds over the past year.
What is the category?	Investment Manager of the Year
Who owns the award?	European Pensions Awards
Date awarded	July 2023
Period based upon	Unknown
Supporting Information	Winners 2023 // European Pensions Awards



Winner: Diversity and Inclusion in Marketing

What is the criteria?	The investment industry is looking toward a more diverse and inclusive future. Amongst the greatest signifiers of this are emerging talents and future leaders who come from different background. This award looks to recognise organisations that have taken steps to change the make up of their marketing team specifically. The judges will be looking for evidence of concrete change that is helping organisations to rebalance the make-up of their marketing staff to drive change in terms of both business success and the creation of broader marketing campaigns. Open to businesses working across the asset management, institutional investment, and financial advice sectors.
What is the category?	Diversity and Inclusion in Marketing
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2023
Date awarded	July 2023
Period based upon	31 December 2021 - 31 December 2022
Supporting Information	<u>Investment Week reveals winners of Investment Marketing & Innovation Awards 2023</u>

Highly Commended: Open Innovation Award- Beyond Financial Returns

What is the criteria?	This award is open to all businesses, big or small, operating across the financial services market. The initiative may be B2B orientated or focused on intermediaries or the provider community. We are looking for genuinely new ideas and execution in a marketing activity sourced from anywhere in the business: what has broken you new ground in your marketing? How was the development of a proposition – a product or service – successfully taken to market in a unique way? The winner may be drawn from smaller firms such as advisers running local marketing campaigns or larger groups working at an international level. The judges will compare entries featuring a single medium against multimedia initiatives; the critical thing is evidence of new thinking: innovation either in message, creative approach, or media. Entries should be from within the investment world
What is the category?	Open Innovation Award – Beyond Financial Returns
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2023
Date awarded	July 2023
Period based upon	31 December 2021 - 31 December 2022
Supporting Information	<u>Investment Week reveals winners of Investment Marketing & Innovation Awards 2023</u>



Winner: Listed Equities Manager of the Year

What is the criteria?	Environmental, Social and Governance (ESG) factors are increasingly being used by fund managers and organisations to select and maximise the performance of their investments. The Sustainable Investment Awards 2023 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds
What is the category?	Listed Equities Manager of the Year
Who owns the award?	Publication/Group: Environmental Finance Name: Sustainable Investment Awards 2023
Date awarded	June 2023
Period based upon	1 March 2022-1 April 2023
Supporting Information	Listed equities manager of the year: Impax Asset Management :: Environmental Finance (environmental-finance.com)

Shortlisted: Responsible Investment Fund of the Year- Impax Sustainable Leaders Fund

What is the criteria?	The Fund Manager of the Year Awards program, in conjunction with event stakeholders Money Management, Momentum Media and Lonsec, has developed a robust awards methodology to form the backbone of assessing and benchmarking Australia's leading finance professionals. The judging criteria for the award categories is both quantitative and qualitative, acknowledging growth, business development, diversity, leadership, innovation, business excellence and contribution to Australia's financial sector.
What is the category?	Responsible Investment Fund of the Year
Who owns the award?	Publication/Group: Money Management Name: 35 th Annual Fund Manager of the Year Awards 2023
Date awarded	May 2023
Period based upon	Unknown
Supporting Information	35th Annual Fund Manager of the Year Awards - 2023 Winners and Finalists Money Management

Shortlisted: Impact Investor of the Year

What is the criteria?	This award recognises impact investors and their commitment to supporting businesses that are really making a difference. Judges will be looking at overall performance of the firm, including exit returns and portfolio growth. This category is for firms whose investment strategy is focused entirely on impact investing.
What is the category?	Impact Investor of the Year
Who owns the award?	Publication/Group: Real Deals Media Name: Real Deals ESG Awards 2023
Date awarded	May 2023
Period based upon	Unknown
Supporting Information	Shortlist Real Deals ESG Awards 2023 (rdesgawards.com)

Shortlisted: Best Ethical Overseas Fund 2023 – Sustainable Leaders Fund

What is the criteria?	This award will go to the best ethical overseas investment fund. Entry is open to funds that are actively marketed in New Zealand and made available to the New Zealand public through financial advisers or platforms. This category is open to overseas ETFs, AUTs and other overseas registered funds.
What is the category?	Best Ethical Overseas Fund 2023
Who owns the award?	Mindful Money Awards
Date awarded	June 2023
Period based upon	Unknown
Supporting Information	Mindful Money Awards

Shortlisted: Rising Star Award – Nana Li, Impax

What is the criteria?	ICGN Members are invited to honour the inspiring achievements made by individuals and companies around the globe who have made exceptional accomplishments in the field of corporate governance and investment stewardship. The judging panel considered the quality of the nominations that were submitted, the testimonials offered, the work highlighted on behalf of the Nominees, the impact of their work, and the criteria of the Awards.
What is the category?	Rising Star Award
Who owns the award?	International Corporate Governance Network
Date awarded	May 2023
Period based upon	Unknown
Supporting Information	ICGN Achievement Awards ICGN

Winner: Börsianer Grün ESG Fund Manager Award- Ranked 'Goldstatus'

What is the criteria?	Börsianer Grün ESG Fund Manager Award honors the best sustainable investment funds according to the criteria 'Environmental', 'Social', 'Governance' (ESG) and 'Performance' in the DACH region. Impax was selected as the winner from 1,917 funds from 190 asset managers using quantitative methods. The Impax Environmental Markets EUR A Accumulation scored 91 points and ranks among the 47 best ESG/Performance Funds in the whole DACH market.
What is the category?	Börsianer Grün ESG Fund Manager Award – Ranked 'Goldstatus'
Who owns the award?	Wayne Financial Media
Date awarded	May 2023
Period based upon	Unknown
Supporting Information	Börsianer Grün, Mai 2023 by Börsianer - Issuu

Shortlisted: Best ESG Asset Manager

What is the criteria?	This award will be given to the most successful asset manager operating ESG strategies, determined by a range of measures including product innovation, flows, performance and thought leadership.
What is the category?	Best ESG Asset Manager
Who owns the award?	With Intelligence Mutual Fund and ETF Awards
Date awarded	May 2023
Period based upon	Unknown
Supporting Information	With Intelligence Mutual Fund and ETF awards 2023 (evessiocloud.com)

Shortlisted: Marketing Leader of the Year – Neville Vyas

What is the criteria?	This award is open to marketers in senior/heads of role within the company. The judges are looking for marketing leaders whose teams have made a difference to the brand perception of their organisations amongst professional audiences, and/or can demonstrate return on investment. The judges will be interested to hear about innovative approaches, changes in team structures, use of digital, and evidence of a well-run, motivated, and high-performing teams. Open to anyone working across the asset management, institutional investment, and financial advice sectors.
What is the category?	Marketing Leader of the Year
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2023
Date awarded	April 2023
Period based upon	31 December 2021 - 31 December 2022
Supporting Information	Investment Marketing and Innovation Awards 2023 - 2023 Shortlist (investmentmarketingawards.co.uk)

Shortlisted: Investment Marketing and Innovation Awards 2023

What is the criteria?	The awards were open to both global businesses and marketing agencies and categories range from marketing and proposition development, thought leadership and content marketing, and through to digital marketing. All campaigns were expected to clearly demonstrate their power in terms of impact and genuine return on investment and customer engagement. The criteria were not just about creativity. In a post pandemic environment, innovation has been crucial, and the judges were looking for award entries inspired with unique content concepts, brand missions and ground- breaking strategies
What is the category?	Best Blog; Best Podcast; Best Use of Video; Campaign Innovation; Content Marketing Campaign of the Year; Customer Marketing Event of the Year; Diversity and Inclusion in Marketing; Marketing Campaign of the Year; Open Innovation Award; Proposition Development; Thought Leadership Work
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2023
Date awarded	April 2023
Period based upon	31 December 2021 - 31 December 2022
Supporting Information	Investment Marketing and Innovation Awards 2023 - 2023 Shortlist (investmentmarketingawards.co.uk)

Winner: Nordics Fund Awards -Best Fund Over Five Years (Equity Asia Pacific) – Impax Asian Environmental Markets

What is the criteria?	Winners have excelled in providing consistently strong, risk-adjusted performance relative to their peers. The merit of the winners is based entirely on objective, quantitative criteria. Proprietary methodology is the foundation of the Award qualification.
What is the category?	Nordics Fund Awards – Best Fund Over Five Years (Equity Asia Pacific)
Who owns the award?	Refinitiv Lipper Fund Awards
Date awarded	April 2023
Period based upon	Unknown
Supporting Information	Nordics 2023 Refinitiv Lipper Fund Awards Find Winners

Shortlisted: Diversity Champion of the Year - Darren Johnson, Impax

What is the criteria?	The award showcases the work financial services companies and professionals are doing to improve diversity, equity and inclusion in their company, their community and for their clients. Judges marked candidates on whether they were doing something different, the reach and impact of their work on their communities and clients as well as their workforce, and the tangible improvements they have seen to their own business as a result of the work they are doing.
What is the category?	Diversity Champion of the Year (Large Firm Category)
Who owns the award?	Financial Times Adviser
Date awarded	April 2023
Period based upon	Unknown
Supporting Information	Diversity in Finance Awards: Who's on the shortlist? - FTAdviser.com

Shortlisted: Investment Manager of the Year

What is the criteria?	The European Pensions Awards were launched to give recognition to and honour the investment firms, consultancies and pension providers across Europe that have set the professional standards in order to best serve European Pension funds over the past year.
What is the category?	Investment Manager of the Year
Who owns the award?	European Pensions Awards
Date awarded	April 2023
Period based upon	Unknown
Supporting Information	Shortlist 2023 // European Pensions Awards

Shortlisted: Marketing Campaign of the Year

What is the criteria?	The European Pensions Awards were launched to give recognition to and honour the investment firms, consultancies and pension providers across Europe that have set the professional standards in order to best serve European Pension funds over the past year.
What is the category?	Marketing Campaign of the Year
Who owns the award?	European Pensions Awards 2023
Date awarded	April 2023
Period based upon	Unknown
Supporting Information	Shortlist 2023 // European Pensions Awards

Top 10 Achievers (Avant-Gardist): Hirschel & Kramer Responsible Investment Brand Index

What is the criteria?	The Responsible Investment Brand Index examines which asset managers act as responsible investors and commit to sustainable development to the extent that they put it at the very heart of who they are, i.e., their brand – and express it accordingly. The index aggregates the analysis of close to 600 asset managers around the world (based on the IPE Top 500 ranking as of December 31, 2021).
What is the category?	Top 10 achievers in the Responsible Investment Brand Index 2023 (Avant-Gardist category)
Who owns the award?	Hirschel & Kramer
Date awarded	March 2023
Period based upon	Unknown
Supporting Information	RIBI 2023 - Hirschel & Kramer (ri-brandindex.org)

Winner: FTSE AIM Non-Executive Director of the Year – Sally Bridgeland, Impax Chair

What is the criteria?	To be eligible for this category companies must be quoted on the FTSE AIM. The individual nominee can be a Chair, Non-Executive Directors or Senior- Independent Director but the nominee must have been in their role for at least a year (from September 2021). Stage 2 involves how the nominee has made significant contribution to the organisation's strategy; how the nominee has impacted on the financial success of the company; how the nominee maintains high ethical standards and shows good corporate governance; any challenges the nominee has helped overcome since being on the board.
What is the category?	FTSE AIM Non-Executive Director of the Year
Who owns the award?	Peel Hunt
Date awarded	March 2023
Period based upon	Unknown
Supporting Information	Non-Executive Director Awards 2023 Winners - Articles - News & events - Peel Hunt

Highly commended: Best ESG Investment Fund: Energy Transition (Private Markets)- ESG Investing Awards

What is the criteria?	The ESG Investing Awards are devoted to assessing and evaluating the best companies involved in all areas of ESG investing across the globe. Covering over 40 categories including research, funds and products, the entrants are assessed by a distinguished panel of judges, all experts in their chosen fields.
What is the category?	Best ESG Investment Fund: Energy Transition (Private Markets)
Who owns the award?	ESG Investing Awards
Date awarded	February 2023
Period based upon	Unknown
Supporting Information	https://www.esginvesting.co.uk/awards/shortlistedfinalists/

Shortlisted: Investment Leader of the Year – The Cleanie Awards

What is the criteria?	This award recognizes the movers and shakers who invested in unique and noteworthy projects and technology that benefit their respective organizations and the clean energy economy overall.
What is the category?	Investment Leader of the Year
Who owns the award?	The Cleanie Awards
Date awarded	February 2023
Period based upon	Between January 1, 2021 and September 1, 2022
Supporting Information	Award Categories 2022 Clean Energy Awards The Cleanie Awards

Future 40: ESG Innovators

What is the criteria?	The Future 40: ESG Innovators highlights how private market investment make a measurable difference to our society and environment. They also offer insights on ground-breaking ESG practices and organisations that champion ESG excellence.
What is the category?	Future 40: ESG Innovators
Who owns the award?	Publication/Group: Real Deals Name: Real Deals Future 40: ESG Innovators
Date awarded	January 2023
Period based upon	Unknown
Supporting Information	023-029_RD522_Future40 (zmags.com)

Shortlisted: Best Sustainable Impact Investment Manager- Europe 2022

What is the criteria?	The CFI.co research team determines the shortlist for the judging panel's review based on the strength of nominations received and publicly available information.
What is the category?	Best Sustainable Impact Investment Manager – Europe 2022
Who owns the award?	CFI.co
Date awarded	December 2022
Period based upon	Unknown
Supporting Information	CFI.co Awards

Highly commended: Responsible Fund Category

What is the criteria?	Of the Investment Association's universe of 4,000 funds, RSMR filter and rate approximately 400. Their ratings are seen as a badge of quality, due diligence and reputation. The Impax Asian Environmental Markets (Ireland) Fund has been an RSMR Responsible rated fund since February 2022 and is listed within the RSMR Responsible classifications as Thematic (green badge). IAEMI is held in their Responsible Balanced, Responsible Growth and Responsible Dynamic ranges.
What is the category?	Responsible fund category Impax Asian Environmental Markets (Ireland) Fund was awarded highly commended in the above-mentioned category.
Who owns the award?	RSMR
Date awarded	November 2022
Period based upon	Unknown
Supporting information	https://www.financialplanningtoday.co.uk/news/item/15383-rsmr-recognises-uk-s-top-fund-managers

Shortlisted: Top Innovator for 2023 (Green Finance)

What is the criteria?	The Top 100 Energy Transition Innovators Report 2023 examines 100 disruptors and change-makers demonstrating transition excellence, including forward-thinking strategy, novel technology integration, and digital transformation.
What is the category?	Top Innovator for 2023 (Green Finance)
Who owns the award?	Publication: Reuters Events Name: Global Energy Transition 2023- The Top 100 Energy Transition Innovators Report 2023
Date awarded	November 2022
Period based upon	Unknown
Supporting information	https://1.reutersevents.com/LP=33892?extsource=ext_top100_impax_asset_management

Shortlisted: Best Fund Manager

What is the criteria?	This award ceremony is a celebration of the industry serving private clients in the UK. The awards category recognises excellence in investments and investment services. It is decided by votes cast by UK Investor Magazine readers and the wider investment community, with a number determined by a panel of judges.
What is the category?	Best Fund Manager
Who owns the award?	Publication: UK Investor Magazine Name: UK Investor Magazine Awards 2022
Date awarded	November 2022
Period based upon	Unknown
Supporting information	Awards - UK Investor Magazine

Shortlisted: Best Investment Trust Manager

What is the criteria?	This award ceremony is a celebration of the industry serving private clients in the UK. The awards category recognises excellence in investments and investment services. It is decided by votes cast by UK Investor Magazine readers and the wider investment community, with a number determined by a panel of judges.
What is the category?	Best Investment Trust Manager
Who owns the award?	Publication: UK Investor Magazine Name: UK Investor Magazine Awards 2022
Date awarded	November 2022
Period based upon	Unknown
Supporting information	Awards - UK Investor Magazine

Shortlisted: ESG Leader

What is the criteria?	Though a primary objective, deploying renewable energy capacity is only one facet of the drive towards sustainability and positive societal impact. While adhering to the primary goal, one must also ask how such projects impact the local community, the social impact regarding workforce diversity and inclusion, secondary emissions savings and resource conservation.
What is the category?	ESG Leader
Who owns the award?	Publication/Group: Inspiratia Name: Energy & Sustainability Awards 2022
Date awarded	October 2022
Period based upon	Unknown
Supporting information	inspiratia > Renewables > Wind > Market Insight > inspiratia unveils its shortlist for the 2022 Energy & Sustainability Awards

Winner: ESG Manager of the Year

What is the criteria?	The annual awards celebrate the stand-out companies and individuals in the European investment management industry.
What is the category?	ESG Manager of the Year
Who owns the award?	Publication/Group: Financial News Name: Excellence in Institutional Fund Management Awards 2022
Date awarded	November 2022
Period based upon	Unknown
Supporting information	https://www.fnlondon.com/articles/in-pictures-fns-excellence-in-institutional-fund-management-awards-2022-20221109

Shortlisted: Best Asset Manager ESG Boutique in Germany

What is the criteria?	Scope Fund Analysis evaluate the risk/return profiles of investment funds and the quality of asset managers. Their ratings, rankings and research reports help investors to make informed investment and selection decisions.
What is the category?	Best Asset Manager ESG Boutique in Germany
Who owns the award?	Publication: Scope Group Name: Scope Awards 2023
Date awarded	September 2022
Period based upon	Unknown
Supporting information	https://www.scopeexplorer.com/en/scope-awards/2023/investment/nominees?id=14

Winner: Best Sustainable Fund Management Group of the Year (AUM under £50bn)

What is the criteria?	Open to fund groups operating in the UK with sustainable products available for retail/wholesale investors. AUM is under £50bn at 28 February 2022. The judges will be looking for leaders in this space, especially over the past 12 months.
What is the category?	Best Sustainable Fund Management Group of the Year (AUM under £50bn)
Who owns the award?	Publication/Group: Investment Week Name: Sustainable Investment Awards 2022
Date awarded	September 2022
Period based upon	31 December 2020 – 31 December 2021
Supporting information	Sustainable Investment Awards 2022 - 2022 Categories (investmentweek.co.uk)

Shortlisted: Best Sustainable Specialist Fund- Impax Environmental Markets plc

What is the criteria?	Open to active sustainable funds focusing on a particular specialist area or sector of the market not covered by the other categories. Must be available in the UK to retail/wholesale investors.
What is the category?	Best Sustainable Specialist Fund
Who owns the award?	Publication/Group: Investment Week Name: Sustainable Investment Awards 2022
Date awarded	July 2022
Period based upon	Must have a three-year track record as at 28 February 2022
Supporting information	Sustainable Investment Awards 2022 - 2022 Categories (investmentweek.co.uk)

Highly commended: Marketing team of the year

What is the criteria?	This award recognises teams that have made significant strides in promoting the brand they work for through marketing activities, can clearly demonstrate a major contribution to the success of the business they work for, and have shown original thinking in their messaging. Entrants evidenced how the team has adapted over the past year in terms of communication and innovation of projects to produce outstanding campaigns and results.
What is the category?	Marketing team of the year
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2022
Date awarded	July 2022
Period based upon	31 December 2020 – 31 December 2021
Supporting information	https://www.investmentmarketingawards.co.uk/IMAIA2022/en/page/home

Highly commended: Content Marketing Campaign of the year

What is the criteria?	Entrants demonstrated the use of compelling content that has been able to engage the institutional financial services market, including content that has helped achieve commercial or other objectives as well as resonating with the target audience. In addition to demonstrating creativity and innovation, submissions evidenced impact in achieving engagement and return on investment.
What is the category?	Content Marketing Campaign of the year
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing and Innovation Awards 2022
Date awarded	July 2022
Period based upon	31 December 2020 – 31 December 2021
Supporting information	https://www.investmentmarketingawards.co.uk/IMAIA2022/en/page/home

Winner: Listed equities manager of the Year

What is the criteria?	Environmental, Social and Governance (ESG) factors are increasingly being used by fund managers and organisations to select and maximise the performance of their investments. The 2022 Sustainable Investment Awards seek to recognise asset managers, analysts and data providers incorporating ESG across all asset classes.
What is the category?	Listed equities manager of the Year
Who owns the award?	Publication/Group: Environmental Finance Name: Sustainable Investment Awards 2022
Date awarded	June 2022
Period based upon	1 March 2021 – 1 April 2022
Supporting information	Winners - Environmental Finance (environmental-finance.com)

Fifty most influential in sustainable finance – Ian Simm, Impax

What is the criteria?	Honourees have been selected for their influence, leadership within the sector, performance, and capacity to shape the industry in the year ahead.
What is the category?	Fifty most influential in sustainable finance
Who owns the award?	Publication/Group: Financial News Name: Fifty most influential in sustainable finance
Date awarded	June 2022
Period based upon	March 2021 – March 2022
Supporting information	https://www.fnlondon.com/lists/fn-fifty-most-influential-in-sustainable-finance

Shortlisted: ESG/SRI Provider of the Year

What is the criteria?	This award recognises the leaders in this significant and increasingly sophisticated market. Nominations are judged on a supporting statement that outlines the type of work a company has been doing in the pension fund space, be it in one or multiple European countries; any particular highlights over the last year; how their offering stands out from the rest in terms of excellence, innovation and, where relevant, performance; and what has been done to add value in the overall pensions space.
What is the category?	ESG/SRI Provider of the Year
Who owns the award?	Publication/Group: European Pensions Name: European Pensions Awards 2022
Date awarded	April 2022
Period based upon	Unknown
Supporting information	http://www.europeanpensions.net/awards/

Winner: Best Asset Manager - Sustainable Investing

What is the criteria?	The awards recognise those funds and asset managers that have served investors well over the long term, which Morningstar's manager research team believes will be able to deliver strong risk-adjusted returns in the long term.
What is the category?	Best Asset Manager - Sustainable Investing
Who owns the award?	Publication/Group: Morningstar Name: Morningstar Awards for Investing Excellence 2022
Date awarded	March 2022
Period based upon	Unknown
Supporting information	https://www.morningstar.co.uk/uk/news/219985/morningstar-awards-for-investing-excellence-2022--best-asset-manager-sustainable-investing.aspx



Winner: Best Fund over 3 Years: Global Multi-Cap Core Funds – Pax Global Environmental Markets Fund

What is the criteria?	The award recognises excellence in delivering consistently strong risk-adjusted performance relative to peers.
What is the category?	Best Fund over 3 Years: Global Multi-Cap Core Funds
Who owns the award?	Publication/Group: Lipper Name: Refinitiv Lipper Fund Awards 2022, United States
Date awarded	March 2022
Period based upon	31 December 2018 – 31 December 2021
Supporting information	https://www.lipperfundawards.com/Awards/UnitedStates

Winner: Best Fund over 10 Years: Global Multi-Cap Core Funds – Pax Global Environmental Markets Fund

What is the criteria?	The award recognises excellence in delivering consistently strong risk-adjusted performance relative to peers.
What is the category?	Best Fund over 10 Years: Global Multi-Cap Core Funds
Who owns the award?	Publication/Group: Lipper Name: Refinitiv Lipper Fund Awards 2022, United States
Date awarded	March 2022
Period based upon	31 December 2011 – 31 December 2021
Supporting information	https://www.lipperfundawards.com/Awards/UnitedStates

Winner: Active Manager of the Year

What is the criteria?	The award recognises the manager that has demonstrated consistent outperformance and an innovative approach to its investment strategy.
What is the category?	Active Manager of the Year
Who owns the award?	Publication/Group: Pensions Age Name: Pensions Age Awards 2022
Date awarded	February 2022
Period based upon	31 December 2020 – 31 December 2021
Supporting information	Shortlist 2022 // Pensions Age Awards

Winner: 2021 DEI Leader – Heather Smith, Impax

What is the criteria?	The Awards recognise individuals who are advocating for or otherwise contributing to member organisations' diversity, equity and inclusiveness
What is the category?	Leaders
Who owns the award?	Publication/Group: <u>Defined Contribution Institutional Investment Association</u> Name: Diversity, Equity & Inclusion Awards
Date awarded	December 2021
Period based upon	Unknown
Supporting information	https://dciiia.org/page/2021DEIRisingStarsLeaders

Highly commended: Best ESG Paper 2021 - Water: From a systemic and unpriced risk to a measurable opportunity with positive impact

What is the criteria?	The awards celebrate content from the global institutional investment industry, across 10 different categories. The awards aim to highlight quality, cutting edge, and deeply engaging thought leadership, with consideration from the judges to readability, appeal and relevance to an institutional investor audience.
What is the category?	Best ESG Paper 2021
Who owns the award?	Publication/Group: Savvy Investor Name: Savvy Investor Awards 2021
Date awarded	December 2021
Period based upon	Unknown
Supporting information	The Best ESG Papers of 2021 (Savvy Investor Awards) Savvy Investor – Subscription required

Shortlisted: Impact Manager of the Year

What is the criteria?	The winner is a manager that demonstrates excellence in delivering both a financial and impact return from investment strategies, and in measuring and reporting on both those goals.
What is the category?	Impact Manager of the Year
Who owns the award?	Publication/Group: LAPF Name: LAPF Investment Awards 2021
Date awarded	December 2021
Period based upon	March 2020 – March 2021
Supporting information	Adviser Shortlist - lapfinvestmentsawards.com - lapfinvestmentsawards.com

Shortlisted: European Asset Management Firm of the Year €20bn-€100bn

What is the criteria?	Entrants must demonstrate exceptional outcomes for the stated investment strategy during the twelve month period. Key evidence includes AUM at the start and end of the twelve month period, pattern of net inflows, number of funds and their performance figures, and a list of markets in which the firm is active. Further evidence of success includes a firm's record in customer service, product development and launches, and thought leadership or research.
What is the category?	European Asset Management Firm of the Year €20bn-€100bn
Who owns the award?	Publication/Group: Funds Europe Name: Funds Europe Awards 2021
Date awarded	December 2021
Period based upon	31 September 2020 - 31 September 2021
Supporting information	https://www.funds-europe.com/awards-2021-shortlists

Highly commended: Rising Star of the Year (small to medium firms) – Evie Paterson, Impax

What is the criteria?	Entrants must provide information on their careers, recent achievements, how they have helped others in the sector, as well as a testimonial to support their entry. Submissions are then considered by a judging panel, drawn from across the industry, who decide the finalists and winners.
What is the category?	Rising Star of the Year (small to medium firms)
Who owns the award?	Publication/Group: Investment Week Name: Women in Investment Awards 2021
Date awarded	November 2021
Period based upon	31 September 2020 - 31 September 2021
Supporting information	https://www.investmentweek.co.uk/news/4041034/investment-reveals-winners-women-investment-awards-2021

Future 40: Impact Investment Funds – Impax New Energy Strategy

What is the criteria?	A clear impact agenda with demonstrated results and the stories behind leading impact investments. Specifically, entrants must describe their firm's impact strategy, explain how they are measuring impact and performing against these measurements. They must also outline a key impact investment from the previous 12 months and what impact it has delivered.
What is the category?	Future 40: Impact Investment Funds
Who owns the award?	Publication/Group: Real Deals Name: Real Deals Future 40: Impact Investment Funds
Date awarded	November 2021
Period based upon	Unknown
Supporting information	https://realdeals.eu.com/article/future-40-impact-investment-funds - Subscription required



Finalist: Sustainable Equity Manager of the Year

What is the criteria?	A shortlist is decided based on asset managers who have demonstrated excellent performance. Shortlisted entrants must then explain how they differentiate themselves from their peers, detailing product and client service innovations they have made over the previous 12 months. In addition, entrants must describe their approach to sustainability, diversity and inclusion.
What is the category?	Sustainable Equity Manager of the Year
Who owns the award?	Publication/Group: Professional Pensions Name: Professional Pensions Investment Awards 2021
Date awarded	November 2021
Period based upon	30 June 2020 – 30 June 2021
Supporting information	https://www.professionalpensions.com/news/4041016/pp-investment-awards-2021-winners

Winner: 'Environmental' Company of the year – Impax Environmental Markets plc

What is the criteria?	Companies are shortlisted using scores from the AIC, supported by Morningstar data. The scores are calculated by looking at cumulative NAV returns over three discrete periods. Returns from the previous 12 months are given a 40% weighting, the 12 months before a 30% weighting, and lastly, the 12 months prior to that a weighting of 20%. The final 10% is from the full cumulative NAV over the three-year period as a whole. This provides a single score out of 100, the highest of which are shortlisted. Shortlisted trusts must then submit a questionnaire which is used in the qualitative judging stage. Judges include leading UK researchers and investors in investment companies, as well as board members in the industry.
What is the category?	'Environmental' Company of the Year
Who owns the award?	Publication/Group: Investment Week Name: Investment Company of the Year Awards 2021
Date awarded	November 2021
Period based upon	30 June 2019 – 30 June 2021
Supporting information	Investment Company of the Year Awards 2021 - Methodology (investmentweek.co.uk)

Winner: Best Performer, Financials

What is the criteria?	The award acknowledges the best of the best in small and medium sized companies. It is driven by key metric fundamentals, identifying the 50 companies that offer the best blend of growth and quality as ranked by finnCap's equity analysis tool. As well as identifying the UK's best companies, the awards also celebrate the achievements and innovation of these business leaders.
What is the category?	Best Performer, Financials
Who owns the award?	Publication/Group: finnCap Group Name: Ambition Nation Listed 50 Awards 2020/2021
Date awarded	October 2021
Period based upon	31 March 2020 – 31 March 2021
Supporting information	https://www.finncap.com/ambition-nation/ambition-nation-listed-50

Winner: Best Manager in Equity - Ecology – Justin Winter, Impax

What is the criteria?	To win an award, the performance of the fund manager is analysed using the Citywire Group ratings methodology. Here, they are measured against the best global talent in the relevant investment sector. The winner is the best performing manager whose fund is open to German investors
What is the category?	Best Manager in Equity - Ecology
Who owns the award?	Publication/Group: Citywire Name: Citywire Deutschland Awards 2021
Date awarded	October 2021
Period based upon	31 March 2020 – 31 March 2021
Supporting information	Not available

Winner: AIM Growth Business of the Year

What is the criteria?	The winner of this award must be registered and headquartered in the UK. They must have achieved exceptional, consistent growth in turnover and profitability for at least the past three years. This growth must have been achieved through market disruption, and clear innovation in product or service, backed up by a sustainable growth strategy which has been implemented by a high-quality management team.
What is the category?	AIM Growth Business of the Year
Who owns the award?	Publication/Group: AIM Name: AIM Awards 2021
Date awarded	October 2021
Period based upon	31 March 2019 – 31 March 2021
Supporting information	https://www.aim-awards.co.uk/#awards

Winner: Active Equities Manager of the Year

What is the criteria?	The awards are judged by a panel of industry experts, picked by the editorial team for their deep knowledge of the sector, and reflecting a spread of companies and groups. Winners and commended providers from these awards are picked because they have achieved outstanding performance, upheld the highest standards of service to their clients, and driven the innovation necessary to keep the industry moving forward. Entrants must provide annualised performance data for one, three and five years as well as a explanation of how managers' approach to risk, opportunities and costs helped clients achieve their objectives. For the innovation section, judges look at changes introduced to improve outcomes for clients over the year. Claims of 'ESG' integration' must be backed up by evidence, including details of voting and stewardship activities, where appropriate. Lastly, entrants must show how they help their clients solve problems, initiatives they have taken on board and how these are being supported.
What is the category?	Active Equities Manager of the Year
Who owns the award?	Publication/Group: Financial Times/Pensions Expert Name: FT Pensions Expert Pension and Investment Provider Awards 2021
Date awarded	October 2021
Period based upon	31 March 2020 – 31 March 2021
Supporting information	2021 WINNERS Resetting the Pensions Agenda: Pension and Investment Provider Awards webinar 2021 (bizzabo.com)

Approved as signatories of the UK Stewardship Code, by the FRC, the UK regulator

What is the criteria?	To become a signatory to the Code, organisations must submit to the FRC a Stewardship Report demonstrating how they have applied the Code's Principles in the previous 12 months. The report may cover any 12-month period beginning after 1 January 2020. The FRC then assesses the report and if it meets reporting expectations, the organisation is listed as a signatory to the Code. Once listed, organisations must annually report to remain signatories.
What is the category?	Signatories of the UK Stewardship Code
Who owns the award?	Publication/Group: FRC Name: Signatories of the UK Stewardship Code
Date awarded	September 2021
Period based upon	Any 12-month period beginning after 1 January 2020
Supporting information	https://www.frc.org.uk/investors/uk-stewardship-code/uk-stewardship-code-signatories

Winner: Most Sustainable Fund Management Group UK 2021

What is the criteria?	The judging criteria includes achievements, past performance, growth, risk management, sustainability, customer service, use of technology and innovation.
What is the category?	Most Sustainable Fund Management Group UK
Who owns the award?	Publication/Group: International Business Magazine Name: International Business Magazine Awards 2021
Date awarded	September 2021
Period based upon	31 March 2020 – 31 March 2021
Supporting information	https://intlbn.com/award-winners-2021/#Europe2021

Shortlisted: Best Sustainable and ESG Fund Management Group of the Year (AUM over £20bn)

What is the criteria?	Entries are judged by a panel of sustainable and ESG investment experts. Entrants must provide examples of innovation, original thinking, inspiring leadership or wider industry impact in this area.
What is the category?	Best Sustainable and ESG Fund Management Group of the Year (AUM over £20bn)
Who owns the award?	Publication/Group: Investment Week Name: Sustainable & ESG investment Awards 2021
Date awarded	September 2021
Period based upon	31 March 2020 – 31 March 2021
Supporting information	Sustainable & ESG Investment Awards - Methodology (investmentweek.co.uk)

Highly commended: Investment Manager of the Year

What is the criteria?	Judges measure submissions against three set criteria - innovation, performance, and service. The overall aim of the awards is to recognise providers that offer the highest level of innovation, performance and service to occupational pension schemes and their members - and have done the most to improve this level of performance, service and innovation over the past year (the 12 months to December 31, 2020). Judges award up to 100 marks on three set criteria - with up to 40 marks for innovation and up to 30 marks each on performance/benefit to clients and service standards.
What is the category?	Investment Manager of the Year
Who owns the award?	Publication/Group: Professional Pensions Name: Professional Pensions UK Pensions Awards 2021
Date awarded	September 2021
Period based upon	31 December 2019 – 31 December 2020
Supporting information	https://ukpensionsawards.com/live/en/page/2021-winners

Shortlisted: Best use of Video

What is the criteria?	Judges look for striking videos that captured the attention of customers and prospects. Entries should include great audio-visual production qualities or stand out for their great storytelling excellence. Entries can also be submitted because they represented a lightning-fast response to the needs of customers in a pandemic. This award includes animated films and embraces both commissioned and in-house projects. This award is open to all businesses operating in the retail, institutional asset management, and wealth management sectors.
What is the category?	Best use of Video
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing & Innovation Awards 2021
Date awarded	July 2021
Period based upon	31 Decmeber 2019 – 31 December 2020
Supporting information	Investment Marketing and Innovation Awards 2021 - Categories (investmentmarketingawards.co.uk)

Shortlisted: Thought Leadership Work – Retail

What is the criteria?	Focused on the retail investment industry, the judges look for fresh thinking, effective communication of ideas, and evidence of genuine impact. The thought leadership entry can be published research, papers, blogs, market commentary in an organised series, or an academic paper, and entries can include videos and podcasts. This award is also a chance for academics and their institutions to showcase their work. The thought leadership work should have direct relevance to investments, for example: investment styles, how to achieve investment objectives, themes such as ESG, investment performance, new understanding of investor behaviour, pricing models, governance, or regulation. Content must have been published in 2020 or 2021.
What is the category?	Thought Leadership Work - Retail
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing & Innovation Awards 2021
Date awarded	July 2021
Period based upon	Content must have been published in 2020 or 2021
Supporting information	Investment Marketing and Innovation Awards 2021 - Categories (investmentmarketingawards.co.uk)

Highly commended: Thought Leadership Work – Institutional

What is the criteria?	Focused on the institutional investment industry, the judges look for fresh thinking, effective communication of ideas, and evidence of genuine impact. The thought leadership entry can be published research, papers, blogs, market commentary in an organised series, or an academic paper, and entries can include videos and podcasts. This award is also a chance for academics and their institutions to showcase their work. The thought leadership work should have direct relevance to investments, for example: investment styles, how to achieve investment objectives, themes such as ESG, investment performance, new understanding of investor behaviour, pricing models, governance, or regulation. Content must have been published in 2020 or 2021.
What is the category?	Thought Leadership Work - Institutional
Who owns the award?	Publication/Group: Investment Week Name: Investment Marketing & Innovation Awards 2021
Date awarded	July 2021
Period based upon	Content must have been published in 2020 or 2021
Supporting information	Investment Marketing and Innovation Awards 2021 - Categories (investmentmarketingawards.co.uk)

Shortlisted: Sustainability Provider of the Year

What is the criteria?	Pension funds are becoming increasingly aware of the important role sustainability plays today. The award recognises the providers who are leading the way in this crucial and increasingly sophisticated field.
What is the category?	Sustainability Provider of the Year
Who owns the award?	Publication/Group: Pensions Age Name: Pensions Age Awards 2021
Date awarded	July 2021
Period based upon	31 December 2019 – 31 Decemeber 2020
Supporting information	Choose your categories // Pensions Age Awards

Winner: Best sustainability reporting by an asset or fund manager: ‘large’ category

What is the criteria?	The Sustainable Investment Awards 2021 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds.
What is the category?	Best sustainability reporting by an asset or fund manager: ‘large’ category
Who owns the award?	Publication/Group: Environmental Finance Name: Sustainable Investment Awards 2021
Date awarded	June 2021
Period based upon	31 December 2019 – 31 December 2020
Supporting information	Best sustainability reporting by an asset or fund manager, large: Impax Asset Management - Environmental Finance (environmental-finance.com)

Shortlisted: Asset Manager of the Year (up to €100bn AUM)

What is the criteria?	Recognises asset managers with up to €100bn AUM in the institutional or retail asset management spaces, that have not only displayed innovation in what they do to take advantage of the opportunities out there, but also have the performance numbers to prove their expertise.
What is the category?	Asset Manager of the Year (up to €100bn AUM)
Who owns the award?	Publication/Group: Money Age Name: The Asset Management Awards 2021
Date awarded	May 2021
Period based upon	31 December 2019 – 31 December 2020
Supporting information	https://www.moneyage.co.uk/assetmanagementawards/shortlist21.php

Winner: Impact Manager of the Year

What is the criteria?	The winner is a manager that demonstrates excellence in delivering both a financial and impact return from investment strategies, and in measuring and reporting on both of these.
What is the category?	Impact Manager of the Year
Who owns the award?	Publication/Group: LAPF Name: LAPF Investment Awards 2020
Date awarded	December 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	2020 LAPF Investments Awards - lapfinvestmentsawards.com - lapfinvestmentsawards.com

Winner: Best Specialist Equity Group of the Year

What is the criteria?	The awards are judged using a combination of quantitative and qualitative criteria by a panel of leading fund selectors and researchers. Entrants must complete a detailed questionnaire drilling down into areas including fund/group performance, team processes and culture, product innovation, and how the strategies fit into client portfolios. Factors setting the winners apart include uniqueness of asset class and strategy, consistency of returns, strong management teams, local teams and resources, and providing affordable access for investors to a specialist area of the market. This year in particular, the judges gave ESG considerations a high weighting in their deliberations.
What is the category?	Best Specialist Equity Group of the Year
Who owns the award?	Publication/Group: Investment Week Name: Specialist Investment Awards 2020
Date awarded	December 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	https://www.investmentweek.co.uk/news/4024206/revealed-winners-of-the-specialist-investment-awards-2020

Shortlisted: ESG/SRI Provider of the Year

What is the criteria?	This award recognises the leaders in this significant and increasingly sophisticated market. Nominations are judged on a supporting statement that outlines the type of work a company has been doing in the pension fund space, be it in one or multiple European countries; any particular highlights over the last year; how their offering stands out from the rest in terms of excellence, innovation and, where relevant, performance; and what has been done to add value in the overall pensions space.
What is the category?	ESG/SRI Provider of the Year
Who owns the award?	Publication/Group: European Pensions Name: European Pensions Awards 2020
Date awarded	December 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	http://www.europeanpensions.net/awards/

Shortlisted: Impact Company of the Year Award

What is the criteria?	This award is for demonstrable impact as a part of normal business. For example, creating a sustainable supply chain or ensuring ethical practices throughout the operations. Entries can be of direct impact or via investment practices. The judges seek evidence of the scale of impact relative to the size of the organisation and of ongoing commitment rather than a single event, creating a lasting change.
What is the category?	Impact Company of the year
Who owns the award?	Publication/Group: Better Society Name: Better Society Awards 2020
Date awarded	December 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	Categories // Better Society Awards

Winner: Sustainable Reporting Award

What is the criteria?	Accurate reporting and measurement are vital components for creating a better society, and this informant must not only be gained, it must also be communicated so that progress can be charted. This award is for the depth and presentation of corporate information within a report that can make readers understand its impact and contribution to SDGs.
What is the category?	Sustainable Reporting
Who owns the award?	Publication/Group: Better Society Name: Better Society Awards 2020
Date awarded	December 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	Categories // Better Society Awards



Winner: Green Finance Award

What is the criteria?	This award is for financial organisations that are helping open up responsible finance either by providing finance for ESG projects or changing their investment strategies to reduce damaging impact. This category is open to finance providers, brokers and in-house teams.
What is the category?	Green Finance
Who owns the award?	Publication/Group: Better Society Name: Better Society Awards 2020
Date awarded	December 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	Categories // Better Society Awards

Winner: European Specialist Investment Firm of the Year

What is the criteria?	Entrants must have enhanced the reputation of their particular investment speciality during the twelve-month period. Evidence of success includes growth in AUM, pattern of net inflows, stability of performance, product development and innovation, increased acceptability, and uptake in target markets. Submissions must also demonstrate a coherent company culture contributing to success and explain why this speciality works for them.
What is the category?	European Specialist Investment Firm of the Year
Who owns the award?	Publication/Group: Funds Europe Name: Funds Europe Awards 2020
Date awarded	November 2020
Period based upon	1 June 2019 – 30 June 2020
Supporting information	https://www.funds-europe.com/awards-2020-winners

Winner: ‘Environmental and Renewables’ Company of the year – Impax Environmental Markets plc

What is the criteria?	Companies are shortlisted using scores from the AIC, supported by Morningstar data. The scores are calculated by looking at cumulative NAV returns over three discrete periods. Returns from the previous 12 months are given a 40% weighting, the 12 months before a 30% weighting, and lastly, the 12 months prior to that a weighting of 20%. The final 10% is from the full cumulative NAV over the three-year period as a whole. This provides a single score out of 100, the highest of which are shortlisted. Shortlisted trusts must then submit a questionnaire which is used in the qualitative judging stage. Judges include leading UK researchers and investors in investment companies, as well as board members in the industry.
What is the category?	Environmental and Renewables
Who owns the award?	Publication/Group: Investment Week Name: Investment Company of the Year Awards 2020
Date awarded	November 2020
Period based upon	Within each sector, companies have their cumulative fair NAV returns calculated over three discrete periods in sterling terms. Returns over the 12 months to 30 June 2020 are given a 40% weighting, the 12 months to 30 June 2019 are given a 30% weighting, while the 12 months to 30 June 2018 accounts for 20% of the overall score. The final 10% weight is given to the full cumulative NAV return over the three years to 30 June 2020.
Supporting information	Investment Company of the Year Awards 2021 - Methodology (investmentweek.co.uk)

Winner: Boutique Manager of the Year – less than £25bn AUM

What is the criteria?	Excellent performance is crucial, but a longer-term track record is more important than outperformance in the past year. Information on the business’s ownership structure, which shows significant equity ownership by staff or other methods of aligning interests, helps nominations. Evidence of strong inflows and asset growth (for 12 months to March 2020 and to March 2019), innovation, robust customer service, recruitment and business expansion also help. Performance and asset data are limited to no more than five funds – and must include a firm’s best-selling fund and its biggest fund. Performance data should be limited to the 12-month period to the end of March 2020, annualised over one, three and five years – net of fees, plus the most recent 2020 YTD numbers.
What is the category?	Boutique Manager of the Year – less than £25bn AUM
Who owns the award?	Publication/Group: Financial News Name: Financial News Asset Management Awards 2020
Date awarded	November 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	https://www.fn london.com/articles/insight-investment-scoops-trio-of-prizes-in-fns-asset-management-awards-20201104 - Subscription required

Winner: Leader of the Year – Ian Simm, Founder and CEO, Impax

What is the criteria?	An award for the Europe-based chief executive officer, chief investment officer or business head at an asset management firm who has added the most value to the business they oversee and their clients in the 12 months to March 2020. Candidates must evidence strong asset growth and inflows for this period (versus March 2019), as well as investment performance and/or business success owing to, and initiatives personally undertaken by, the individual. Evidence of innovation, new strategies/initiatives/ approaches, recruitment, mergers, joint ventures, improved efficiency and risk management implemented under the person's leadership are also considered. Testimonials from colleagues, peers in the industry, but especially from clients, giving specific examples, also help. Performance and asset data to show the success of a person's business or team is limited to no more than five funds – and must include a firm's best-selling fund and its biggest fund. Only performance data for the 12-month period to the end of March 2020, annualised over one, three and five years – net of fees – is accepted, plus YTD 2020 numbers where available.
What is the category?	Leader of the Year
Who owns the award?	Publication/Group: Financial News Name: Financial News Asset Management Awards 2020
Date awarded	November 2020
Period based upon	March 2019 – March 2020
Supporting information	https://www.fnlonon.com/articles/insight-investment-scoops-trio-of-prizes-in-fns-asset-management-awards-20201104 - Subscription required

Shortlisted: Best Environmental/Climate Change Fund – Impax Environmental Markets plc

What is the criteria?	Entries are judged by a panel of sustainable and ESG investment experts. They consider factors including: strong performance record; strength of the team; meeting the fund's sustainable & ESG objectives; excellence in sustainable and ESG investing within the investment process; strong engagement record; effective client communication; wider fund impact and developments in the past year. To qualify, funds must have a minimum one-year track record.
What is the category?	Best Environmental/Climate Change Fund
Who owns the award?	Publication/Group: Investment Week Name: Sustainable & ESG Investment Awards 2020
Date awarded	November 2020
Period based upon	March 2019 – March 2020
Supporting information	Sustainable & ESG Investment Awards - Methodology (investmentweek.co.uk)

Winner: Sustainable & ESG Fund Management Group of the Year

What is the criteria?	Entries are judged by a panel of sustainable and ESG investment experts. Entrants must provide examples of innovation, original thinking, inspiring leadership or wider industry impact in this area.
What is the category?	Sustainable & ESG Fund Management Group of the Year
Who owns the award?	Publication/Group: Investment Week Name: Sustainable & ESG Investment Awards 2020
Date awarded	November 2020
Period based upon	28 February 2017 – 28 February 2020
Supporting information	Sustainable & ESG Investment Awards - Methodology (investmentweek.co.uk)

Shortlisted: Best Sustainable and ESG Equity Fund – Impax Global Opportunities

What is the criteria?	Entries are judged by a panel of sustainable and ESG investment experts. They consider factors including: strong performance record; strength of the team; meeting the fund's sustainable & ESG objectives; excellence in sustainable and ESG investing within the investment process; strong engagement record; effective client communication; wider fund impact and developments in the past year. To qualify, funds must have a minimum one-year track record.
What is the category?	Best Sustainable and ESG Equity Fund
Who owns the award?	Publication/Group: Investment Week Name: Sustainable & ESG Investment Awards 2020
Date awarded	November 2020
Period based upon	28 February 2019 – 28 February 2022
Supporting information	Sustainable & ESG Investment Awards - Methodology (investmentweek.co.uk)

Winner: Specialist Investment Manager of the Year

What is the criteria?	Independence, integrity and genuine insight are integral to the judging process and are conducted by an expert panel drawn from both private banks and trusted advisors/consultants to the sector.
What is the category?	Specialist Investment Manager of the Year
Who owns the award?	Publication/Group: WealthBriefing Name: WealthBriefing European Awards 2020
Date awarded	October 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	https://clearviewpublishing.com/events/wealthbriefing-european-awards-2020/

Runners up: Global ESG Equities strategy – Impax Global Opportunities

What is the criteria?	The awards celebrate the leading ESG funds that are driving new standards and deliver alpha in a variety of categories.
What is the category?	Global ESG Equities strategy
Who owns the award?	Publication/Group: SharingAlpha Name: Wisdom of The Selector Awards 2020
Date awarded	October 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	IM Power 2020 Wisdom of the Selector Awards: the winners announced! (informaconnect.com)

Winner: Fund of the year – listed equity - Impax Environmental Markets plc

What is the criteria?	The awards seek to recognise and reward the work of impact investors and highlight emerging pockets of best practice, across all asset classes and all geographies. Nominations must demonstrate excellence in a particular category, supporting material can also be included to endorse the nomination.
What is the category?	Fund of the year – listed equity
Who owns the award?	Publication/Group: Environmental Finance Name: Impact Awards 2020
Date awarded	September 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	https://www.environmental-finance.com/content/awards/impact-awards-2020/

Winner: Boutique Manager of the Year

What is the criteria?	The Managing Editor of Global Investor picks the winners using the submissions from the firms themselves and makes a decision based on a number of criteria. These are performance, including data on fund performance, innovation such as new products, services or offices, growth which can be in the number or value of funds, or the size of the team itself, as well as the application of sustainable strategies including ESG and efforts to promote diversity in the work-place.
What is the category?	Boutique Manager of the Year
Who owns the award?	Publication/Group: Global Investor Name: Global Investor Investment Excellence Awards 2020
Date awarded	September 2020
Period based upon	31 March 2019 – 31 March 2020
Supporting information	Investment Excellence Awards 2020 - winners announced Awards Global Investor Group

Highly commended: ESG / SRI Manager of the Year

What is the criteria?	The Pension and Investment Provider Awards are judged by a panel of industry experts, picked by the editorial team for their deep knowledge of the sector, and reflecting a spread of companies and groups. Winners and commended providers from these awards are picked because they have achieved outstanding performance, upheld the highest standards of service to their clients, and driven the innovation necessary to keep the industry moving forward. Entrants for ESG/SRI Manager of the Year must include their policy towards environmental, social and governance risks, and how they ensure that this approach is applied across all of their funds and workforce. They must also provide evidence of this attainment, focusing on transparency, accountability and measurement of progress in ESG integration. Lastly, entrants must show how they have updated their approach over the year, focusing on how changes have improved outcomes for clients.
What is the category?	ESG/SRI Manager of the Year
Who owns the award?	Publication/Group: Financial Times/Pensions Expert Name: FT Pensions Expert Pension and Investment Provider Awards 2020
Date awarded	September 2020
Period based upon	31 December 2018 – 31 December 2019
Supporting information	Pensions Expert: Pension & Investment Provider Awards

2020 UNPRI assessment (Principles for Responsible Investment): A+ Strategy and Governance

What is the criteria?	As signatories to the UNPRI, Impax reports annually on its responsible investment activities. The UNPRI then assess the ESG and Sustainability credentials of firms across various categories, based on these activities, and scores them against their peers. Impax was awarded the highest score, A+, for its overarching approach to ESG in the Strategy and Governance category.
What is the category?	Strategy and Governance
Who owns the award?	Publication/Group: UNPRI assessment (Principles for Responsible Investment) Name: 2020 UNPRI assessment (Principles for Responsible Investment)
Date awarded	August 2020
Period based upon	Unknown
Supporting information	Impax Asset Management - Assessment Report 2020 (impaxam.com)

Shortlisted: ESG Initiative of Year

What is the criteria?	The ESG Initiative of the Year Award goes to the asset manager in the institutional or retail asset management space which has developed a strong ESG initiative in the past year and gained or maintained returns whilst implementing this initiative.
What is the category?	ESG Initiative of the Year
Who owns the award?	Publication/Group: Money Age Name: The Asset Management Awards 2020
Date awarded	April 2020
Period based upon	Unknown
Supporting information	https://www.moneyage.co.uk/assetmanagementawards/shortlist20.php

Queen's Awards for Enterprise: Sustainable Development 2020

What is the criteria?	Entrants must show how they have achieved outstanding sustainable development for more than two years. They must provide evidence of the benefits, or positive outcomes, of their actions or interventions.
What is the category?	Queen's Awards for Enterprise: Sustainable Development
Who owns the award?	Publication/Group: Queen's Awards for Enterprise Name: Queen's Awards for Enterprise: Sustainable Development
Date awarded	April 2020
Period based upon	Unknown
Supporting information	https://queensawards.blog.gov.uk/about/

Shortlisted: Sustainability Provider of the Year

What is the criteria?	Pension funds are becoming increasingly aware of the important role sustainability plays today. The award recognises the providers who are leading the way in this crucial and increasingly sophisticated field.
What is the category?	Sustainability Provider of the Year
Who owns the award?	Publication/Group: Pensions Age Name: Pensions Age Awards 2020
Date awarded	February 2020
Period based upon	Unknown
Supporting information	https://www.pensionsage.com/awards/shortlist20.php

Winner: European Specialist Investment Firm of the Year

What is the criteria?	Entrants must have enhanced the reputation of their particular investment speciality during the twelve-month period. Evidence of success includes growth in AUM, pattern of net inflows, stability of performance, product development and innovation, increased acceptability, and uptake in target markets. Submissions must also demonstrate a coherent company culture contributing to success and explain why this speciality works for them.
What is the category?	European Specialist Investment Firm of the Year
Who owns the award?	Publication/Group: Funds Europe Name: Funds Europe Awards 2019
Date awarded	November 2019
Period based upon	31 March 2018 – 31 March 2019
Supporting information	https://www.funds-europe.com/awards-2019-winners

Winner: AIM Company of the year

What is the criteria?	The awards are voted for by the readers and of Shares Magazine. The company with the most votes in its category wins.
What is the category?	AIM Company of the Year
Who owns the award?	Publication/Group: Shares Magazine Name: Shares Awards 2019
Date awarded	November 2019
Period based upon	31 March 2018 – 31 March 2019
Supporting information	https://www.sharesmagazine.co.uk/awards/about

The London Stock Exchange (LSE) Green Economy Mark – for Impax Asset Management and for Impax Environmental Markets plc

What is the criteria?	The LSE Green Economy Mark has been created to clearly highlight to investors those companies and investment funds on all segments of the Main Market and AIM that derive 50% or more of their total annual revenues from products and services that contribute to the global green economy.
What is the category?	The Green Economy Mark
Who owns the award?	Publication/Group: London Stock Exchange Name: London Stock Exchange Green Economy Mark
Date awarded	October 2019
Period based upon	July 2018 – May 2020
Supporting information	LSE_GREEN_ECONOMY_REPORT_2020_JULY.pdf (londonstockexchange.com)

Winner: Boutique investment manager of the year

What is the criteria?	The Sustainable Investment Awards 2019 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds.
What is the category?	Boutique investment manager of the year
Who owns the award?	Publication/Group: Environmental Finance Name: Sustainable Investment Awards 2019
Date awarded	August 2019
Period based upon	31 December 2017 – 31 December 2018
Supporting information	Boutique investment manager of the year – Impax Asset Management - Environmental Finance (environmental-finance.com)

Winner: Best Environmental Fund – Pax Global Environmental Markets Fund

What is the criteria?	The Sustainable Investment Awards 2019 seek to recognise and highlight the work of asset managers and key players incorporating ESG across all asset classes from, fixed income, listed and private equity, debt funds, multi asset funds and infrastructure funds.
What is the category?	Best Environmental Fund
Who owns the award?	Publication/Group: Environmental Finance Name: Sustainable Investment Awards 2019
Date awarded	August 2019
Period based upon	31 December 2017 – 31 December 2018
Supporting information	https://www.environmental-finance.com/content/awards/sustainable-investment-awards-2019/winners/best-environmental-fund-pax-global-environmental-markets-fund.html

Finalist: Environmental, Social and Governance (ESG) Manager of the Year

What is the criteria?	The ESG Manager of the Year category aims to reward excellence among managers who offer specific ESG-focused funds and strategies over the 12 months to 31 December 2020. Entries are also welcomed from firms who have best integrated ESG across their broader product range and from managers focusing on strategies such as impact investing.
What is the category?	Environmental, Social and Governance (ESG) Manager of the Year
Who owns the award?	Publication/Group: Professional Pensions Name: Professional Pensions UK Pensions Awards 2019
Date awarded	May 2019
Period based upon	31 December 2017 – 31 December 2018
Supporting information	UK Pensions Awards 2019 - Shortlists published (professionalpensions.com)

Winner: 'Gold' for ESG fund management group

What is the criteria?	The Portfolio Adviser Fund Awards are chosen by a 20-strong judging panel of esteemed UK fund buyers. Discounting backward looking detail, such as past performance and whether funds frequent buy lists, judges were asked the following question: for a new client with cash to invest, looking ahead with an investment horizon of at least three years, what are your top-three fund recommendations in each category? Once the winners were collated, Morningstar conducted due diligence on each fund.
What is the category?	ESG fund management group
Who owns the award?	Publication/Group: Portfolio Adviser Name: Portfolio Adviser Fund Awards 2019
Date awarded	January 2019
Period based upon	31 March 2017 – 31 March 2018
Supporting information	PA Fund Awards winners revealed Portfolio Adviser (portfolio-adviser.com)

Winner: Circular Economy Investor

What is the criteria?	The World Economic Forum's Circulars awards recognise businesses, governments and individuals that use innovation and disruptive technologies to reduce waste, emissions, and the use of harmful materials. There were almost 450 applications received from over 45 countries. Impax Asset Management won the Circular Economy Investor award for encouraging circular investments by mainstream investors.
What is the category?	Circular Economy Investor
Who owns the award?	Publication/Group: World Economic Forum Name: World Economic Forum Circulars awards 2019
Date awarded	January 2019
Period based upon	Unknown
Supporting information	Closing the Loop: Meet the Pioneers Turning our Global Economy Circular > Press releases World Economic Forum (weforum.org)

Important Key Risks

- **Market risk:** investments in funds or securities are subject to market fluctuations, so they can fall as well as rise in value.
- **Currency risk:** Fluctuation in exchange rates may reduce investment gains or income and increase losses. Additional risk should be considered where the Fund's base currency differs from the currency of your own investments.
- **Emerging market risk:** emerging markets' future is dependent on (i) widespread adoption of their products and services and (ii) timely implementation of anticipated changes in local governmental policies; investments in companies in emerging markets or less developed countries in the region may face more political, economic or structural challenges than developed countries, putting your money at greater risk. Emerging markets securities may be negatively impacted by changes in government policies, changes in taxation, restrictions in foreign investment and the movement of money from one country to another, currency fluctuations and other developments in the laws and regulations of countries in which investments may be made. Emerging markets or less developed countries may face more political, economic or structural challenges than developed countries, putting your money at greater risk.
- **Liquidity risk:** Substantial selling by shareholders may necessitate the Investment Manager having to sell investments, incurring losses that would otherwise not have arisen.
- **Sustainability risk:** Sustainability risks are environmental, social and governance events or conditions whose occurrence could have an actual or potential material negative impact on the value of a security or fund and all known types of risk of a fund. Sustainability risks may result in a material negative impact on the value of an investment and performance of the portfolio. Sustainability risks are environmental, social and governance events or conditions whose occurrence could have an actual or potential material negative impact on the value of the portfolio and all known types of risk of the portfolio. Governmental liberalisation of basic services and increased environmental legislation may not occur at the anticipated rate. The **costs of technology in environmental markets** may not continue to fall or may not maintain price competitiveness.
- **Market fluctuations:** Potential investors should note that the investments of a fund are subject to market fluctuations and that there can be no assurance that any appreciation in value will occur. The value of investments and the income from them, and therefore the value of, and income from a fund's shares, can go down as well as up and an investor may not get back the amount invested.
- **Political or regulatory risks:** The value of a security or fund's assets may be affected by uncertainties such as international political developments, changes in government policies, changes in taxation, restrictions in foreign investment and currency repatriation, currency fluctuations and other developments in the laws and regulations of countries in which investments may be made.
- **Capital risk** - the value of your investment will vary and is not guaranteed. It will be affected by changes in the exchange rate between the base currency of the portfolio and the currency in which you subscribed, if different.
- **Equities** - may decline in value due to both real and perceived general market, economic and industry conditions. Investing in foreign-denomination and/or – domiciled securities may involve heightened risk due to currency fluctuations, and economic and political risks, which may be enhanced in emerging markets.
- The portfolio of a fund may include a number of relatively **newly established companies** and companies whose future is dependent on widespread adoption of their products and services.
- The portfolio of investments of an Impax fund may be denominated in **currencies** other than the currency of the share class purchased by the investor and, therefore, the Net Asset Value of the portfolio may be affected by currency movements.
- The **valuations** of companies in environmental markets may remain at current level or may fall.



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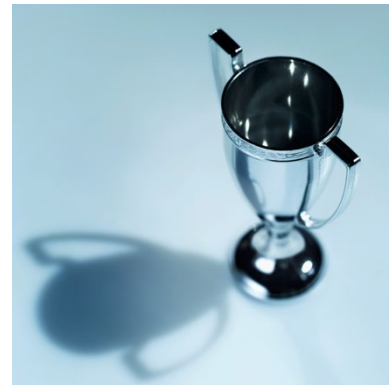
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Impax awards – supporting information

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